



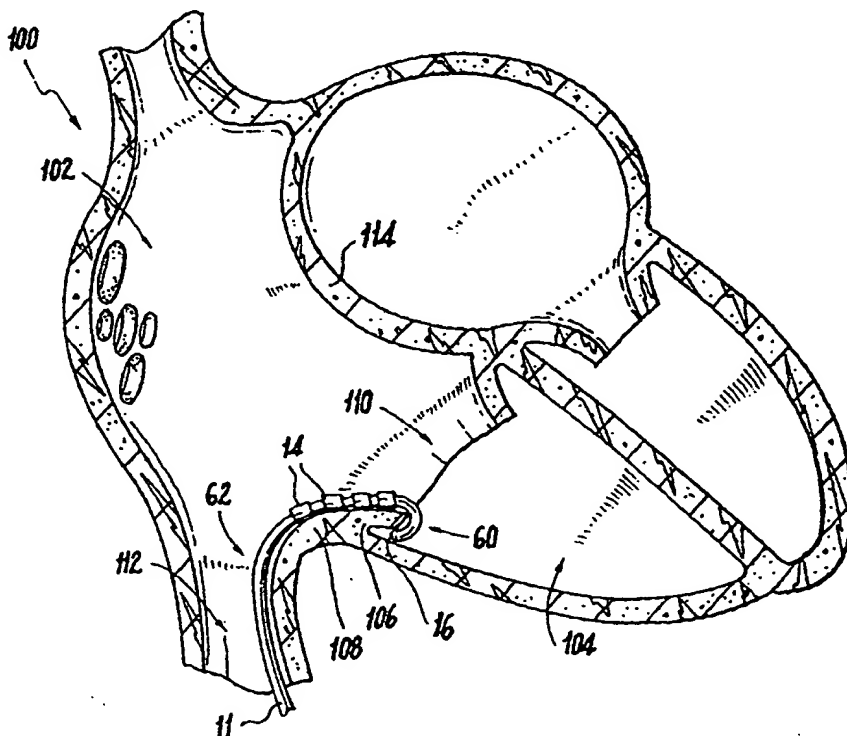
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : <b>A61B 18/14</b>		<b>A1</b>	(11) International Publication Number: <b>WO 00/32129</b>
			(43) International Publication Date: 8 June 2000 (08.06.00)
(21) International Application Number: <b>PCT/US99/28233</b>		Boston, MA 02111 (US). GIBSON, Charles, A. [US/US]; 73 Hill Street, Malden, MA 02148 (US).	
(22) International Filing Date: 23 November 1999 (23.11.99)		(74) Agents: SWEEDLER, Michael, J. et al.; Darby & Darby P.C., 805 Third Avenue, New York, NY 10022-7513 (US).	
(30) Priority Data: 09/197,812 23 November 1998 (23.11.98) US 09/203,922 2 December 1998 (02.12.98) US 09/434,599 5 November 1999 (05.11.99) US		(81) Designated States: CA, JP, MX, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US 09/197,812 (CIP) Filed on 23 November 1998 (23.11.98)		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(71) Applicant (for all designated States except US): C.R. BARD, INC. [US/US]; 730 Central Avenue, Murray Hill, NJ 07974 (US).			
(72) Inventors; and (75) Inventors/Applicants (for US only): FALWELL, Gary, S. [US/US]; 28 Trolley Street, Manchester, NH 03103 (US). MCRURY, Ian, D. [US/US]; 20 Woodland Drive #323, Lowell, MA 01852 (US). PETERSON, Michael, C. [US/US]; 23 Bourbeau Terrace, Newburyport, MA 01950 (US). WANG, Paul, J. [US/US]; 750 Washington Street,			

(54) Title: INTRACARDIAC GRASP CATHETER

## (57) Abstract

A method of treating cardiac arrhythmia, including guiding a distal end portion of a catheter, the distal end portion having a distal tip and accommodating at least one ablation electrode into a desired intracardiac region, for example, from the inferior vena cava into the right atrium of a human heart, and then from the right atrium into the right ventricle of the heart, pulling the catheter backwards, for example, towards the inferior vena cava, until the distal tip engages an edge of an intracardiac orifice, for example, the tricuspid annulus whereby the at least one electrode engages a target tissue, for example, the isthmus of tissue between the tricuspid annulus and the inferior vena cava, deflecting the distal tip into a hook-shaped configuration, and activating the at least one electrode to produce a substantially continuous lesion on the target tissue. The method makes use of a catheter pre shaped at its distal end, steerable with pull wires in two different normal planes, having one electrode which slides along the distal tip of the catheter.



BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## **INTRACARDIAC GRASP CATHETER**

### **FIELD OF THE INVENTION**

The present invention relates to a steerable medical catheter and, more particularly, to a flexible, electrode-bearing catheter of the type used in electrophysiological studies for intracardiac electrocardiographic recording, mapping, stimulation and ablation.

### **BACKGROUND OF THE INVENTION**

Catheters are often used in medical procedures to provide physical access to remote locations within a patient via a relatively small passageway, reducing the need for traditional invasive surgery. The catheter tube can be inserted into an artery or other passageway through a relatively small incision in the patient's body, and threaded through the patient's system of blood vessels to reach the desired target.

Various types of catheters are used in various procedures, both diagnostic and therapeutic. One general type of catheter used for both diagnostic and therapeutic applications is a cardiac electrode catheter. The diagnostic uses for a cardiac electrode catheter include recording and mapping of the electrical signals generated in the course of normal (or abnormal) heart function. Therapeutic applications include pacing, or generating and placing the appropriate electrical signals to stimulate the patient's heart to beat in a specified manner, and ablation. In an ablation procedure, electrical or radio-

frequency energy is applied through an electrode catheter to form lesions in a desired portion of the patient's heart, for example the right atrium. When properly made, such lesions will alter the conductive characteristics of portions of the patient's heart, thereby controlling the symptoms of supra-ventricular tachycardia, ventricular tachycardia, atrial flutter, atrial fibrillation, and other arrhythmias.

Such a catheter is typically placed within a desired portion of the patient's heart or arterial system by making a small incision in the patient's body at a location where a suitable artery or vein is relatively close to the patient's skin. The catheter is inserted through the incision into the artery and manipulated into position by threading it through a sequence of arteries, which may include branches, turns, and other obstructions.

Once the cardiac electrode catheter has been maneuvered into the region of interest, the electrodes at the distal end of the catheter are placed against the anatomical feature or area sought to be diagnosed or treated. This can be a difficult procedure. The electrophysiologist manipulating the catheter typically can only do so by operating a system of controls at the proximal end of the catheter shaft. The catheter can be advanced and withdrawn longitudinally by pushing and pulling on the catheter shaft, and can be rotated about its axis by rotating a control at the proximal end. Both of these operations are rendered even more difficult by the likelihood that the catheter must be threaded through an extremely tortuous path to reach the target area. Finally, once the tip of the catheter has reached the target area, the electrodes at the distal end of the catheter are placed in proximity to the anatomical feature, and diagnosis or treatment can begin.

In the past, the difficulties experienced by electrophysiologists in the use of a cardiac electrode catheter have been addressed in a number of different ways.

To facilitate maneuvering a catheter through a tight and sinuous sequence of arterial or venous passageways, catheters having a pre-shaped curve at their distal end have been developed. To negotiate the twists and branches common in a patient's arterial or venous system, the catheter typically is rotatable to orient the pre-shaped curve in a desired direction. Although the tip of the catheter may be somewhat flexible, the curve is fixed into the catheter at the time of manufacture. The radius and extent of the curvature generally cannot be altered. Therefore, extensive pre-surgical planning is frequently necessary to determine what curvature of catheter is necessary. If the predicted curvature turns out to be incorrect, the entire catheter may need to be removed and replaced with one

having the proper curvature. This is an expensive and time-consuming ordeal, as catheters are generally designed to be used only once and discarded. Moreover, the additional delay may place the patient at some additional risk.

5 A variation of the pre-shaped catheter uses a deflectable curve structure in the tip. This type of catheter has a tip that is ordinarily substantially straight, but is deflectable to assume a curved configuration upon application of force to the tip. However, the tip deflection is not remotely controllable. In a certain patient's arterial system, a point may be reached at which the proper force cannot be applied to the catheter tip. In such cases, the catheter must be withdrawn and reinserted through a more  
10 appropriate passage, or another catheter with a different tip configuration must be used.

Another attempt to facilitate the placement of catheters takes the form of a unidirectional steering catheter. A typical unidirectional steering catheter has a steering mechanism, such as a wire, that extends the length of the catheter to the distal tip. The steering mechanism is coupled to the tip in such a way that manipulation of the proximal  
15 end of the mechanism (e.g., by pulling the steering wire) results in deflection of the catheter tip in a single direction. This type of catheter is illustrated, for example, in U.S. Patent No. 5,125,896 issued to Hojeibane. The direction of deflection can be controlled by embedding a ribbon of wire in the tip; the ribbon is flexible along one dimension but not in others. This type of catheter can further be controlled by rotating the entire shaft of the  
20 catheter; in this manner, the direction of bend within the patient can be controlled. The shaft of such a catheter must be strong enough to transmit torque for the latter form of control to be possible.

U.S. Patent 5,383,852 to Stevens-Wright describes a steerable electrocardial catheter including a flexible tip assembly having a proximal and a distal  
25 section. In this catheter, two steering mechanisms are used to separately control bending of either or both the proximal and distal sections. The steering mechanisms for the proximal and distal sections include separate steering wires, as described above, which are coupled to the proximal and distal sections, respectively.

Bidirectional steering catheters also exist. The distal end of a bidirectional steering catheter can be maneuvered in two planes, allowing the tip to be positioned with  
30 greater accuracy. However, bidirectional steering catheters are complex mechanically and are often difficult to manipulate.

Although the foregoing types of catheters address the issue of maneuverability in different ways, none of them is ideally configured to maintain contact with and apply a desired amount of pressure to a desired anatomical feature, such as an atrial wall.

5 One device used for the latter purpose is known as a basket catheter. See, for example, the HIGH DENSITY MAPPING BASKET CATHETER manufactured by Cardiac Pathways Corporation. A basket catheter has several spring-biased arms near the distal tip. When these arms are unconstrained, they bow outward to define a basket-like shape. The arms of the basket are constrained for implantation in a sheath structure.  
10 When the tip of the catheter has reached the desired location, the sheath is retracted, or the arms are advanced out of the sheath.

However, because the tip of the catheter is sheathed, it is not easily steerable into location, and is not as flexible as one might desire. Moreover, the sheath adds bulk to the device, which might significantly limit the range of applications in which  
15 the basket catheter can be used. The basket has only one shape and size. Once the arms are deployed from the sheath, the basket assumes a single configuration defined upon manufacture. If the predefined configuration of the basket is not suitable, then substantially no correction is possible. Also, known basket catheters are not indicated for use in high-energy therapeutic applications, such as ablation.

20 A variable-geometry sheathed electrode catheter is also known in the art. This device has a single electrode-bearing tip portion that is initially disposed within a relatively inflexible sheath. When the tip portion is advanced with respect to the sheath, the tip portion bows out of a slot-shaped aperture in the sheath. The shape of the tip portion can be controlled to apply a desired amount of pressure to an anatomical feature.  
25 However, as a sheath is used around the catheter, the device is not easily steerable into location. Moreover, as discussed above, the sheath structure adds undesirable bulk to the device.

Radio frequency ablation (RFA) has become the treatment of choice for specific rhythm disturbances. To eliminate the precise location in the heart from which an  
30 arrhythmia originates, high frequency radio waves are generated onto the target tissue, whereby heat induced in the tissue burns the tissue to eliminate the source of arrhythmia.

For successful ablation treatment, e.g., to produce a lesion at a given

anatomical site, it is generally required that the catheter be accurately positioned at the ablation site and that continuous contact be maintained between the electrode and the ablation site for the duration of the ablation treatment.

U.S. Patent 5,617,854 to Munsif describes, inter alia, a pre-shaped catheter particularly useful for ablating in the vicinity of the sinoatrial node, the left atrium, and up to the mitral valve. The tip of the catheter is formed of a temperature-sensitive shape-memory material, e.g., Nitinol, or is otherwise invoked to assume a segmented configuration upon reaching a desired position. The segmented configuration includes a distal segment which bears an ablation electrode. In operation, the segmented shape produces tension which urges the ablation electrode on the distal segment into contact with a wall of the left atrium, while other segments are urged against other tissue. Since the shape of the catheter tip is fixed, the tip is not easily manipulated. Further, the tension produced between the segments of the catheter tip is dependent on the shape and dimensions of the ablation site, e.g., the left atrium.

Atrial fibrillation and atrial flutter are the most common type of arrhythmia found in clinical practice. Although the potential adverse consequences of these types of arrhythmia is well known, the basic electrophysiological mechanisms and certain management strategies to control these types of arrhythmia have been understood only recently.

Reference is made to Fig. 1 which schematically illustrates a cross-section of a human heart 100 showing typical atrial flutter circuits. Such circuits includes macro-entrant, counter-clockwise, pathways 120 from the right atrium 102, through the inter-atrial septum 114, down the free wall 116, and across the isthmus of tissue 108 between the inferior vena cava 112 and the tricuspid annulus 106 of the tricuspid valve 110.

Most electrophysiologists recommend treating atrial flutter by producing a linear contiguous lesion 118 at the isthmus of tissue 108, between vena cava 112 and the tricuspid annulus 106. Linear lesion 118 can be produced by RF ablation electrodes which are placed in contact with tissue 108. It is contemplated that isthmus tissue 108 is a critical link of the atrial flutter circuit and, thus, linear lesion 118 is expected to terminate this source of arrhythmia and prevent the recurrence of such arrhythmia.

Existing ablation treatment for atrial flutter includes the use of a catheter bearing at least one single or bi-polar ablation electrode. Unfortunately, an undue amount

of time is spent in correctly positioning the ablation electrode of the catheter against the site to be treated. Further, in existing electrode catheter configurations, the catheter must generally be repeatedly repositioned until an acceptable lesion 118 is produced. Thus, lesion 118 is often non-continuous, i.e., there may be gaps in the lesion line which may require further repositioning of the ablation catheter. Such repeated repositioning of the catheter is time consuming and may result in prolonged, potentially harmful, exposure of patients to X-ray radiation.

Accordingly, there is a need for a cardiac electrode catheter that can be conveniently and quickly steered into secured, operative, engagement with a preselected portion of the isthmus of tissue between the inferior vena cava and the tricuspid annulus, to produce a predefined, substantially continuous, lesion on this isthmus of tissue.

The difficulties in steering, positioning and providing secured contact of an electrode catheter, with reference to the isthmus of tissue between the inferior vena cava and the tricuspid annulus, are also applicable in mapping and/or ablating other intracardiac sites. For example, steering and positioning difficulties may arise in mapping and possible ablation in the vicinity of the coronary sinus.

### SUMMARY OF THE INVENTION

The present invention seeks to provide a steerable electrode catheter having a relatively flexible distal end portion accommodating at least one electrode, for example, an elongated configuration of mapping/ablation electrodes, that can be conveniently guided to a predetermined intracardiac site, for example, to the vicinity of the tricuspid valve, and that can be steered into a shape which enables convenient positioning of at least one electrode in secure operative engagement with a predetermined mapping and/or ablation site, for example, an ablation site along the isthmus of tissue between the inferior vena cava and the tricuspid annulus. If ablation of tissue is required, an electrode catheter in accordance with the present invention may be used to produce a predefined, elongated, substantially continuous, lesion at the ablation site.

According to an embodiment of the present invention, the catheter includes a flexible distal end portion which may be controlled by one or two steering mechanisms, namely, a distal steering mechanism and/or a proximal steering mechanism. The distal steering mechanism may be adapted to deflect only the tip of the distal end portion into a



hook-shaped configuration. The proximal steering mechanism may be adapted to deflect the entire distal end portion. Alternatively, the distal end portion of the electrode catheter may have a pre-shaped distal tip, e.g., the tip may be pre-shaped into a partly deflected configuration. In this alternative embodiment, a single steering mechanism may be used both to deflect the distal end portion and to further shape the pre-shaped distal tip into the desired hook-shaped configuration.

In another embodiment of the present invention, the distal end portion of the electrode catheter may be adapted to be steerable or deflectable at three regions, namely, a distal tip deflection region, an intermediate deflection region, and a proximal deflection region. The curvature of the distal end portion at the intermediate deflection region, in addition to either or both of the distal tip deflection region and the proximal deflection region, enables more flexibility in conforming the shape of the distal end portion of the catheter to the shape of the target tissue, e.g., the above mentioned isthmus of tissue, during mapping and/or ablation of the target tissue. In an embodiment of the present invention, the intermediate deflection region is adapted to be curved towards the target tissue, thereby to provide improved contact with the target tissue when the end portion of the catheter is urged against the target tissue.

In yet another embodiment of the present invention, the distal end portion is not deflectable at the intermediate region but, rather, the distal end portion is formed of a resilient material and is pre-shaped to have a predetermined curvature at the intermediate region. In this embodiment of the invention, when the distal end portion is urged against the target tissue, the curvature of the intermediate region changes until the electrode configuration on the distal end portion conforms to the shape of the target tissue. This ensures urged contact between the at least one electrode and the target tissue without an additional steering mechanism.

In still another embodiment of the present invention, at least one displaceable electrode may be used in addition to or instead of the elongated configuration of electrodes described above, to produce an elongated lesion on the target tissue. A displaceable electrode that may be suitable for use in conjunction with this embodiment of the invention is described in co-pending U.S. Patent Application No. 09/203,922, entitled "Internal Mechanism for Displacing a Slidable Electrode", filed December 2, 1998, the disclosure of which is expressly incorporated herein by reference.

According to an embodiment of the present invention, at least one ablation electrode is brought into secured engagement with a target tissue, for example, the isthmus of tissue between the inferior vena cava and the tricuspid annulus, as follows. First, the distal end of the catheter is guided into the right atrium. As the distal end of the catheter advances in the right atrium, the proximal steering mechanism may be activated to deflect the entire distal end portion, such that the distal end portion may be conveniently inserted into the right ventricle. Once the distal end portion is inside the right ventricle, the distal steering mechanism is activated to produce the hook-shape configuration at the tip of the distal end portion. Then, the catheter is pulled back, i.e., in the direction of the right atrium, until the hook-shaped tip of the distal end is anchored at the tricuspid annulus. The catheter may then be pulled further back and the curvature of the distal end portion may be adjusted, e.g., using the proximal steering mechanism, until the at least one ablation electrode securely engages an ablation site along the isthmus of tissue between the tricuspid annulus and the inferior vena cava. Once such secured engagement is obtained, the at least one ablation electrode may be activated to produce a substantially continuous, linear, lesion at the ablation site.

As mentioned above, a catheter having a pre-shaped distal tip may alternatively be used. In such case, the catheter may be guided into the right ventricle and then pulled back until the pre-shaped tip is anchored at the tricuspid annulus, obviating the step of deflecting the distal tip before pulling back the catheter. The catheter may then be pulled further back and the curvature of the distal end portion may be adjusted, e.g., using the proximal steering mechanism, as described above, until the distal tip assumes the desired hook-shaped configuration that provides a firm grip of the tricuspid annulus and secure engagement between the at least one ablation electrode and the ablation site, e.g., along the isthmus of tissue between the tricuspid annulus and the inferior vena cava.

An electrode catheter configuration as described above may be used, in some preferred embodiments of the invention, for mapping and/or ablation of tissue at other intracardiac location where anchoring onto an edge of an orifice may be helpful in correctly and securely positioning an electrode catheter. For example, a configuration as described above may be useful for mapping and, possibly, ablating of tissue in the vicinity of the coronary sinus, by maneuvering the distal end of the catheter into the coronary sinus and pulling the catheter back until the distal tip of the catheter is anchored at an edge of

the coronary sinus orifice.

### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be understood and appreciated more fully from the following detailed description of the preferred embodiment taken in conjunction with the accompanying drawings in which:

Fig. 1 is a schematic, cross-sectional, illustration of a human heart showing an atrial flutter circuit including an isthmus of tissue between the inferior vena cava and the tricuspid annulus;

Fig. 2 is a schematic, perspective, illustration of an electrode catheter in accordance with an embodiment of the present invention;

Fig. 3 is a schematic, side view, cross-sectional, illustration of a distal end portion of the electrode catheter of Fig. 2;

Figs. 4A-4C are schematic, front view, cross-sections of the distal end portion of Fig. 3, taken along section lines A-A, B-B and C-C, respectively;

Fig. 5 is a schematic, cross-sectional, illustration of the human heart, showing the electrode catheter of Fig. 2 being introduced into the right atrium;

Fig. 6 is a schematic, cross-sectional, illustration of the human heart, showing the electrode catheter of Fig. 2 being steered from the right atrium into the right ventricle;

Fig. 7 is a schematic, cross-sectional, illustration of the human heart, showing the tip of the electrode catheter of Fig. 2 being deflected into a "hook" shape;

Fig. 8 is a schematic, cross-sectional, illustration of the human heart, showing the electrode catheter of Fig. 2 being pulled back to engage the isthmus of tissue between the inferior vena cava and the tricuspid annulus with the tip of the catheter anchored at the tricuspid annulus;

Fig. 9 is a schematic illustration of an end portion of an electrode catheter in accordance with another embodiment of the present invention;

Figs. 10A and 10B are schematic illustrations of part of an electrode catheter in accordance with yet another embodiment of the present invention, in a non-deflected configuration and a deflected configuration, respectively.

Fig. 11 is a schematic, perspective, illustration of a medical device

carrying a displaceable electrode which may be used in conjunction with still another embodiment of the present invention;

Fig. 12 is a fragmented side view, in enlarged scale, of the displaceable electrode included in the medical device of Fig. 1;

Fig. 13 is a cross-sectional view taken along the line 403-403 of Fig. 2 and looking in the direction of the arrows;

Fig. 14 is a cross-sectional view taken along the line 404-404 of Fig. 2 and looking in the direction of the arrows; and

Fig. 15 is a schematic, perspective, illustration of an alternative embodiment of the medical device of Fig. 11.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Reference is made to Fig. 2 which schematically illustrates a perspective view of an ablation and/or mapping catheter 10 in accordance with an embodiment of the present invention.

Catheter 10 includes a handle portion 22, electric connectors 24, a tubular catheter shaft 11 and a distal end portion 12 including an end shaft 13. Distal end portion 12 includes a distal tip 16, a distal tip deflection region 60 and a proximal deflection region 62. According to the present invention, distal end portion 12 can be steered from a generally straight configuration, indicated by the solid lines in Fig. 1, to a deflected configuration, indicated by the broken lines in Fig. 1. The broken line configuration in Fig. 1 also illustrates how distal deflection region 60 can be deflected into a hook-shaped configuration, as described in detail below.

In an embodiment of the present invention, tip 16 may include a sensor or mapping electrode, as is known in the art, for monitoring the electric potential of tissue in contact therewith. This may be helpful in guiding and positioning distal end portion 12, as described below. Additionally or alternatively, tip 16 may include an ablation electrode for ablating tissue in contact therewith.

Reference is now also made to Fig. 3 which schematically illustrates a side-view, cross-section, of distal end portion 12. End shaft 13, which is preferably hollow as shown in Fig. 3, accommodates an elongated configuration 40 of ablation electrodes 14.

Elongated configuration 40 may include any number of electrodes 14, with a predetermined spacing therebetween, or a single elongated electrode, as known in the art, adapted to produce a substantially continuous, substantially linear, lesion when brought into operative engagement with a target tissue. Electrodes 14 are preferably all ring-electrodes covering the entire circumference of shaft 13. Additionally or alternatively, electrodes configuration 40 may include at least one mapping electrode, as is known in the art, for monitoring the potential of the tissue in contact with the electrode configuration.

Reference is now made also to Figs. 4A-4C which schematically illustrate front-view cross-sections of distal end portion 12 along section lines A-A, B-B, and C-C, respectively, in Fig. 3. In accordance with the present invention, catheter 10 includes a distal steering mechanism which is used to deflect tip 16 of distal end portion 12, as mentioned above, by producing a small radius of curvature at region 60. Catheter 10 further includes a proximal steering mechanism which controls the curvature of region 62, between shaft 11 and 13, thereby to control the deflection of the entire distal end portion 12.

The distal and proximal steering mechanisms may include any suitable steering mechanisms known in the art, for example, the control mechanisms described in U.S. Patent 5,383,852 to Stevens-Wright, the disclosure of which is incorporated herein by reference. As shown in Figs. 3-4C, the distal and proximal control mechanisms may include control wires 55 and 64, respectively, which extend along the interior of shaft 11 from handle portion 22 to regions 60 and 62, respectively, of distal end portion 12. Wire 55 is attached to tip 16 and may extend through middle guiding loops along most of the length of shaft 13, as shown in Figs. 4B and 4C, and then through off-center guiding loops at region 60, as shown in Fig. 4A, whereby only a small segment adjacent to tip 16 is deflected by wire 55. Wire 64 may extend through off-center guiding loops in shaft 13, as shown in Fig. 4C, and is attached to end shaft 13 at region 62.

The deflection of distal end portion 12 into a desired configuration is preferably controlled by an electrophysiologist using control members 26 and/or 27 on handle portion 22. In the embodiment shown in Fig. 2, control member 26 may include a rotatable control member attached to wire 55, such that forward or backward rotation of control member 26 results in corresponding movement of wire 55, thereby controlling the deflection of end portion 12 at region 60. Control member 27 may include a slidable

control member attached to wire 64, such that forward or backward sliding of control member 27 results in corresponding movement of wire 64, thereby controlling the deflection of end portion 12 at region 62. As known in the art, the electrophysiologist may also rotate distal end portion 12 about the longitudinal axis of catheter 10. Any suitable rotation mechanism, as is known in the art, can be used to control the rotation of distal end portion 12. For example, catheter shaft can be made of a rotationally rigid material that transmits the rotation of handle portion 22 to distal end 12. Alternatively, the rotation of handle 22 may be transmitted by a rotationally stiff member (not shown) extending longitudinally through the interior of catheter shaft 11.

In an embodiment of the present invention, electrodes 14 are addressed, together or separately, via connectors 24, which are connected to electrodes 14 by conductors 66. Conductors 66 may extend along the interior of catheter shaft 11 and end shaft 13, for example, through middle guiding loops, as shown in Figs. 4A-4C.

Using connectors 24, electrodes 14 are connected to an ablation energizing circuit, which may be activated by user controls as are known in the art. Upon activation, the energizing circuit energizes electrodes 14 with radio frequency (RF) energy, as is known in the art. Using separate ablation controls, the electrophysiologist may activate electrodes 14 together or separately (if selective ablation is desired) to ablate a target tissue, as described in detail below.

As known in the art, electrodes 14 may be associated with temperature sensors (not shown in the drawings) which may be connected to temperature monitoring circuitry for monitoring the temperature of the tissue in contact with electrodes 14. An output of the temperature monitoring circuitry may be visually displayed to the electrophysiologist, as is known in the art, to provide the electrophysiologist with on-line indication of the electrode temperatures, which are indicative of adjacent tissue temperatures. If temperature sensors are used, they may be connected to the monitoring circuitry via connectors 56 and additional conductors (not shown) in catheter shaft 11.

According to the present invention, catheter 10 is used for ablating tissue on the endocardium isthmus of tissue between the inferior vena cava and the tricuspid annulus of a patient suffering from aberrant heart activity, such as atrial flutter or fibrillation, as described below.

Figs. 5-8 schematically illustrate a procedure for introducing catheter 10

into the right atrium and subsequently guiding distal end portion 12 to securely engage a portion of the endocardium tissue 108 between the inferior vena cava and the tricuspid annulus.

As shown in Fig. 5, distal end portion 12 is first guided into the right atrium of the patient's heart 100 from the inferior vena cava. Once catheter 10 is introduced into the right atrium, the electrophysiologist proceeds to deflect distal end portion 12 towards the right ventricle 104, using the proximal steering mechanism of catheter 10. Distal end portion 12 enters the right ventricle via the tricuspid valve 110, as shown in Fig. 6. If necessary, end shaft 13 may be rotated to assist in the manipulation of distal end portion 12.

After distal end portion 12 is inserted into the right ventricle, the electrophysiologist uses the distal steering mechanism to deflect tip 16 into the hook-shaped configuration described above, as shown in Fig. 7. Then, the catheter is pulled back, i.e., in the direction of inferior vena cava 112, until a portion of the tricuspid annulus 106 is grasped by the hook-shaped tip 16, as shown in Fig. 8.

Once tip 16 is anchored at the tricuspid annulus, the catheter may be pulled further back and the curvature of distal end portion 12 may be adjusted, using the proximal steering mechanism, until electrodes 14 of elongated configuration 40 securely engage a portion of the isthmus of tissue 108 between tricuspid annulus 106 and inferior vena cava 112. At this point, the electrophysiologist activates some or all of electrodes 14 to ablate a substantially continuous, substantially linear, lesion on the endocardial wall of the isthmus of tissue 108.

As described above, electrodes 14 may be associated with temperature sensors. These sensors may include thermocouples or any other temperature sensing means known in the art. Based on the temperatures measured by these optional temperature sensors, the electrophysiologist may deactivate some or all of electrodes 14 when the temperature of the ablated tissue site exceeds a predetermined threshold. Then, when the temperature of the ablated sites drops below the threshold, the electrophysiologist may reactivate electrodes 14 if further ablation is required.

As mentioned above, tip 16 may optionally include a sensor electrode for monitoring/mapping the electrical potential of tissue adjacent tip 16, e.g., to enable more accurate and/or more efficient positioning of end portion 12 against isthmus of tissue 108.

Sensor electrodes may also be included in electrode configuration 40, e.g., for mapping the electrical potential along isthmus of tissue 108, during or between ablation sessions, to determine whether further ablation may be necessary.

Reference is now made to Fig. 9 which schematically illustrates a distal end portion 212 of an ablation catheter in accordance with another embodiment of the present invention, having an elongated electrode configuration 240 including a plurality of electrodes 214 and a tip 216. In the embodiment of Fig. 9, distal end portion 212 is adapted to be steerable or deflectable at three regions, namely, a distal tip deflection region 260, an intermediate deflection region 250 and a proximal deflection region 262. Regions 260 and 262 are generally analogous to the distal and proximal deflection regions 60 and 62, respectively, of distal end portion 12, as described above with reference to Figs. 2-8. Intermediate deflection region 250 may be located at a predetermined position along electrode configuration 240. The mechanisms for deflecting end portion 212 at regions 260 and 262 may be similar to those used for deflecting end portion 12 at regions 60 and 62, respectively, as described in detail above with reference to Figs. 2-8. The mechanism for deflecting distal end portion 212 at intermediate region 250 may include any suitable deflection mechanism, for example, a control wire (not shown) extending through the hollow interior of end portion 212, analogous to control wires 55 and 64 in the embodiment of Figs. 2-8.

The curvature of end portion 212 at any or all of regions 260, 250 and 262 may be controlled by the electrophysiologist using any suitable controls (not shown), for example, handle controls similar to controls 26 and 27 in the embodiment of Figs. 2-8. Thus, in this embodiment, the electrophysiologist may control the curvature of distal end portion 212 at region 250, in addition to controlling the curvature of distal and proximal regions 260 and 262. The addition of intermediate deflection region 250 enables more flexibility in conforming the shape of distal end portion 212 to the shape of isthmus of tissue 108 during ablation treatment. In an embodiment of the present invention, intermediate deflection region 250 is adapted to be deflected in the direction indicated by arrow 270, so as to provide improved contact with isthmus of tissue 108 when end portion 212 is urged against the tissue.

In yet another embodiment of the present invention, end portion 212 is not deflectable at region 250 but, rather, end portion 212 is formed of a resilient material and



is pre-shaped to have a predetermined curvature at region 250, as shown generally in Fig. 9. In this embodiment of the invention, when end portion 212 is urged against a target tissue, such as isthmus of tissue 108, the curvature of region 250 changes until electrode configuration 240 conforms to the shape of the target tissue. This ensures urged contact between electrodes 214 and the target tissue without an additional steering mechanism.

In still another embodiment of the present invention, end portion 212 is deflectable only at distal region 260, to assume a hook-shaped configuration as described above, but is not deflectable at proximal region 262. End portion 212 may also be pre-shaped or deflectable at intermediate region 250, as described above. In this embodiment, once the tricuspid annulus is grasped by the hook-shaped tip of the catheter, it is primarily the backward pulling force applied by the electrophysiologist that brings electrodes 214 into urged contact with the target endocardial tissue.

Reference is now made to Figs. 10A and 10B which schematically illustrate part of an electrode catheter 300 in accordance with yet another embodiment of the present invention. Catheter 300 includes a tubular catheter shaft 311 and a distal end portion 312 including an end shaft 313. Distal end portion 312 includes a distal tip 316, a distal tip deflection region 360 and a proximal curvature region 362. In this embodiment, region 360 distal end portion 312 is pre-shaped to have a partly deflected configuration, as shown in Fig. 10A, with an inner-curve angle  $\alpha$ . Such pre-shaping of region 360 may be performed by pre-baking region 360 into the desired configuration, as is known in the art. As described below, distal end portion 312 may be steered into a deflected configuration, shown in Fig. 10B, wherein proximal curvature region 362 is curved to a predetermined extent and distal deflection region 360 is further deflected into a hook-shaped configuration, similar to that described above with reference to Figs. 2-8.

Distal end portion 312 has an elongated electrode configuration 340 including a plurality of electrodes 314 and a tip 316. As in the embodiment of Figs. 2-8, tip 316 may include a sensor or mapping electrode, as is known in the art, for monitoring the electric potential of tissue in contact therewith and/or an ablation electrode for ablating tissue in contact therewith. In the embodiment of Figs. 10A and 10B, distal end portion 312 is adapted to be steerable or deflectable by a single deflection mechanism at both regions 362 and 360. The mechanism for deflecting end portion 312 at regions 360 and 362 may include a control wire (not shown), similar to control wire 55 in Figs. 3 and 4A-4C, which

extends through the hollow interior of end portion 312 . The control wire is fixedly attached to tip 316 and may extend through off-center guiding loops, as are known in the art, along the entire length of shaft 313. Thus, in contrast to the embodiments described above with reference to Figs. 2-8, the curvature of the entire length of distal end portion 312, including regions 362 and 360, is affected upon activation of the deflection mechanism, thereby producing the deflected configuration shown in Fig. 10B. re 55. In an embodiment of the present invention, shaft 313 is made from a material which is more flexible than the material used for shaft 311. The transition between the materials of shafts 311 and 313 is indicated by numeral 315. The material for shaft 313 may include Polyether Block Amide, having a Shore D hardness of 40-55, available from Atochem, Inc., U.S.A., under the trade name of Pebax. It should be appreciated, however, that wide range of materials and hardnesses of shaft 313 may be suitable for the present invention, depending on specific design requirements. The material used for shaft 311 should be at least slightly harder than that of shaft 313, and preferably has a Shore D hardness at least 5 higher than that of shaft 313.

It should be appreciated that, in the embodiment of Figs. 10A and 10B, when the control wire is pulled backwards to deflect end portion 312, region 362 becomes curved and region 360 is fully deflected into the desired hook-shaped configuration, as shown in Fig. 10B. Thus, the deflection of both regions 362 and 360 is performed in a single action, obviating the need to use two separate deflection mechanisms, as in some of the above described embodiments. This simplifies the deflection procedure to be executed by the electrophysiologist.

Catheter 300 may be used for mapping and/or ablating the isthmus of tissue between the inferior vena cava and the tricuspid annulus, as follows. In analogy to the procedure described above with reference to Figs. 5-8, distal end portion 312 is first guided into the right atrium of the patient's heart from the inferior vena cava. Once end portion 312 is introduced into the right atrium, the electrophysiologist proceeds to steer distal end portion 312 towards the right ventricle, using the single steering mechanism described above. Distal end portion 312 enters the right ventricle via the tricuspid valve, in analogy to the description above with reference to Fig. 6. If necessary, end shaft 313 may be rotated by the electrophysiologist to assist the manipulation of distal end portion 312.

Since distal end portion 312 is inserted into the right ventricle with a partly

deflected distal deflection region 360, there is no need to further deflect the distal end portion before anchoring tip 316 at the tricuspid annulus. The electrophysiologist then simply pulls back the catheter, in the direction of the inferior vena cava, until a portion of the tricuspid annulus is grasped by the partly deflected tip 16, in analogy to the description above with reference to Fig. 8.

It has been found by the present inventors that when distal deflection region 360 is pre-shaped to be partly deflected by an inner-curve angle,  $\alpha$ , of between about 20 degrees and about 100 degrees, for example, 40-60 degrees, regions 360 and 362 assume a final configuration (upon deflection), as shown in Fig. 10B, which is suitable for mapping and/or ablating tissue in the vicinity of the tricuspid annulus as described above. This finding is empirical and may depend on various parameters and specific applications design requirements of catheter 300. For example, the choice of angle  $\alpha$  may depend on the material used to form shaft 313, the distance between transition point 315 and the proximal end of electrode configuration 340 (indicated by numeral 356), the distance between the center of region 360 (indicated by numeral 355) and distal tip 316, and/or the length of electrode configuration 340. For example, an angle of 40-60 degrees has been found suitable for a distal end portion 312 made from the Pebax material described above, wherein the distance between transition 315 and proximal electrode 356 is approximately 3.5 cm, the distance between center 355 and tip 316 is approximately 1.8 cm, and the length of electrode configuration 340 is approximately 2.8 cm.

Once tip 316 is anchored at the tricuspid annulus, the catheter may be pulled further back and the deflection mechanism described above may be used to further curve region 362 and to fully deflect region 360 into the hook-shaped configuration shown in Fig. 10B, until electrodes 314 of elongated configuration 340 securely engage a portion of the isthmus of tissue between tricuspid annulus and inferior vena cava. At this point, the electrophysiologist may activate some or all of electrodes 314 to ablate a substantially continuous, substantially linear, lesion on the endocardial wall, as described above.

It should be understood that electrode catheter configurations as described above may also be used for mapping and/or ablation of other intracardiac sites where anchoring onto an edge of an orifice may be helpful in correctly and securely positioning an electrode catheter. For example, a configuration as described above may be useful for mapping and, possibly, ablating tissue in the vicinity of the coronary sinus, by

maneuvering the distal end of the catheter into the coronary sinus and subsequently pulling the catheter back until the distal tip of the catheter is anchored at an edge of the coronary sinus orifice.

In yet another embodiment of the present invention, the elongated configuration of electrodes described thus far may be replaced by (or used in addition to) at least one displaceable electrode, as described below. A medical device incorporating such a displaceable electrode is described in co-pending U.S. Patent Application No. 09/203,922, entitled "Internal Mechanism for Displacing a Slidable Electrode", filed December 2, 1998, assigned to the assignee of the present application, the entire disclosure of which is incorporated herein by reference.

Referring now to Figs. 11-15, and particularly to Fig. 11, there is shown a medical device 410 carrying a displaceable electrode 412 which may be used in some embodiment of the present invention, instead of or in addition to the elongated configuration of electrodes described above with reference to Figs. 1-10. Displaceable electrode 412 is slidably mounted over an elongated catheter shaft 414 of the device 410 and which is selectively movable relative to the catheter shaft in either a distal or proximal direction along the catheter shaft 414. An electrode displacement mechanism, generally designated 416, is connected to the displaceable electrode 412 and is operative to displace the electrode relative to the catheter shaft 414 and thus the medical device 410 as well. Thus, for example, in an ablation procedure, the device 410 may be manipulated inside a patient's body until the electrode 412 is disposed in a desired position, e.g., in contact with part of an intracardiac target tissue as described above. Ablation energy may then be delivered to the electrode to destroy the adjacent tissue as is known in the art. The electrophysiologist may then manipulate the electrode displacement mechanism 416 to move the electrode 412 relative to the shaft 414 a desired distance, and ablation energy may again be delivered to the electrode to ablate the adjacent tissue. The procedure may be repeated one or more times to create a continuous, substantially linear lesion on the target tissue.

Referring to Fig. 12, the medical device 410 in accordance with one illustrative embodiment of the invention is in the form of a catheter, for example, an ablation catheter, mapping catheter, or other diagnostic catheter. It will be apparent that the medical device 410 of the present invention can take many different forms, such as any medical device having an insertion member to be inserted into a patient's body. In the

illustrative embodiment, the catheter includes the catheter shaft 414, which can be manipulated internally through a patient's body to a site of interest within the patient's body. The catheter shaft defines at least one interior lumen 422 (Fig. 13) which is sized to slidably receive a portion of the electrode displacement mechanism 416 therein. In a preferred embodiment, the catheter shaft defines a plurality of interior lumens 422 for passing various components through the respective lumens, as is described in greater detail below.

In one embodiment, the catheter includes a control handle 424 for manipulating the electrode displacement mechanism 416 (Fig. 11). The catheter handle may take many different forms. One suitable form of control handle is shown in Fig. 11 and is disclosed in greater detail in U.S. Patent Number 5,462,527 to Stevens-Wright, the disclosure of which is hereby expressly incorporated by reference as if fully set forth herein. Briefly, the control handle includes a slide actuator 426 which travels longitudinally along the control handle in a longitudinal slot (not shown) formed in the handle. Each end of the slot defines a stop limiting the extent of travel of the slide actuator. The slide actuator is connected to the electrode displacement mechanism 416 and therefore movement of the slide actuator translates into movement of the electrode displacement mechanism and thus the electrode 412, as is described in greater detail below. Another suitable form of control handle is disclosed in U.S. Patent Number 5,611,777 to Bowden et al., an illustrative embodiment of which is shown in Fig. 15 and which is expressly incorporated herein by reference.

The control handle 424 is connected to a plurality of connectors 423, which connect to suitable power supplies (not shown) to provide ablation energy to the slidable electrode 412, and to diagnostic equipment (not shown) to transmit sensing signals generated by the catheter electrodes, as is well known in the art and described in greater detail below.

The medical device 410 of the present invention is also preferably a steerable catheter, and thus the control handle also preferably includes a rotatable thumb wheel 425 rotatably mounted in the control handle 424, which can be rotated by a user to deflect the distal end portion of the catheter, for example, as described above with reference to Figs. 1-10. Thus, the thumb wheel may be engaged to one or more pull wires

429 (Fig. 14) which extend through one or more of the lumens 422 in the catheter shaft 414 and are connected to the distal end portion of the catheter at an off-axis location, whereby tension applied to one or more of the pull wires causes the distal portion of the catheter to curve in a predetermined direction or directions. The thumb wheel may be  
5 knurled along its periphery or formed with upstanding ribs 435 to facilitate manipulation of the thumb wheel by a user's fingers.

In one illustrative embodiment of the invention, the displacement mechanism 416 may include a relatively stiff displacing member 430 in the form of a mandrel which includes a first, proximal end securely connected to the slide actuator 426  
10 inside the control handle 424. The mandrel may be in the form of a shaft, stiff wire, hypotube, or the like, and may extend distally from the slide actuator through the handle 424, through one of the lumens 422, and then extends laterally with respect to the catheter shaft and into engagement with the inside surface of the slidable electrode 412.

The catheter shaft 414 preferably includes a longitudinal slot 432 formed at  
15 a predetermined location on the catheter shaft. The slot preferably extends into one of the lumens 422 to create an opening from the lumen to the outer surface of the catheter shaft 414. A portion of the mandrel 430 extends through the slot 432 for engagement with the inside surface of the slidable electrode 412 (Fig. 13). The slot may be formed with different dimensions to permit the electrode 412 to travel different distances along the  
20 catheter shaft 414. Preferably, the slot is between about one and about eight centimeters in length, but may, of course, be of any suitable length, subject to the dimensions of the control handle 424. The slot design may also serve to limit blood ingress into the lumen 422 which receives the mandrel 430. Specifically, as shown in Fig. 14, the slot 432 may be formed having a generally V-shaped cross-section to minimize the opening between the  
25 slot and lumen.

In one embodiment, the mandrel 430 includes an elongated, proximal segment 434 located inside the control handle 424, a tapered, cylindrical distal segment 436 extending through the catheter shaft 414, a transitioning segment 438 which extends  
30 distally and laterally outwardly through the catheter shaft 414, and a contact segment 440 which is sized for slidable receipt within the slot 432 and which may be connected to the inside surface of the displaceable electrode 412. The angled segment 438 extends into the

~~longitudinal slot 432, and the contact segment 440 travels longitudinally within the slot.~~

The distal segment 436 is preferably formed with a smaller cross-sectional diameter than the proximal segment 434 to maintain tip flexibility, while acting as a positive means for stopping overextended electrode movement.

5           The mandrel 430 may be formed of electrically conductive material, such that it serves not only to displace the movable electrode 412, but may also deliver electrical power to or from the electrode, in the case of either an ablation electrode or a sensing electrode. Alternatively, the mandrel may include an interior passageway through which one or more conductors extend to the electrode 412. In either case, the mandrel is  
10           preferably surrounded within a protective sheath which is treated with a hemo-compatible coating.

          The mandrel 430 may be formed having a relatively high column strength to selectively displace the electrode 412 distally and proximally. Thus, when the mandrel is compressed by movement of the actuator 426 in a distal direction, the mandrel will  
15           resist bowing and will reliably advance the electrode 412 along the catheter shaft 414. In addition, in order to resist bowing, it is preferred to provide a lumen 422 sized to receive the mandrel 430 in a relatively tight manner while still allowing relative movement there between, such that the lumen walls assist in preventing the mandrel from bowing to any significant extent.

20           The slidable electrode 412 may be a conventional ring electrode having a suitably sized interior opening for slidable extension over the catheter shaft 414. In one embodiment, the catheter shaft may include a necked down segment in registration with the longitudinal slot 432. The electrode may be formed having a predetermined outer diameter so that it is flush with the outer diameter of the enlarged portion of the catheter  
25           shaft 414. Alternatively, the electrode 412 may be formed with an outer diameter larger than the outer diameter of the catheter shaft 414 so that it projects laterally outwardly from the catheter shaft 414 to provide a high-profiled electrode which facilitates tissue contact. In such an embodiment, the electrode 412 has a thickness sufficient to cause the outer contact surface thereof to project outwardly from the catheter shaft 414. As a result, the  
30           contact surface of the electrode generally contacts the patient's tissue before the catheter shaft 414 comes into contact with the target tissue, even at locations where the tissue has an irregular surface.

While the slidable electrode 412 described herein is a ring electrode, it will be apparent that the electrode may take many different forms. For example, the electrode may be in the form of a strip electrode connected to the mandrel 430 and aligned with the slot 432. As used herein, "ring electrode" is defined as an electrode with a cylindrical inner surface for slidable extension over a tubular shaft such as catheter shaft 414. The outer surface of the ring electrode can take on any suitable configuration, depending on the particular use of the electrode.

In some embodiments, the medical device 410 may include a tip electrode 450 at the catheter distal tip, which may be of conventional design, and one or more additional electrodes 452 at spaced apart locations along the catheter shaft. The electrode(s) may be used for monopolar ablation, bipolar ablation with the slidable electrode 412, mapping, and other functions well known to those skilled in the art. Typically, the electrodes 452 may be used for sensing, in either a monopolar or bipolar fashion, while the tip electrode 450 may be used for making follow-up burns to fill in any gaps after the slidable electrode 412 has been used to create a linear lesion. However, other uses for the various electrodes are possible, as is well known to those skilled in the art. Each of the additional electrodes is mounted on the catheter shaft 414, and connected to a respective conductive wire 454 extending through one of the lumens 422 of the catheter. Also, each of the electrodes which is intended for use as an ablation electrode may be connected to a temperature sensor (not shown), which allows the clinician to monitor the temperature of the electrodes to avoid subjecting the tissue to excessive temperatures to avoid charring and coagulum. The temperature sensors can be thermocouples, thermistors, resistive thermal devices ("RTD"), or the like. Each temperature sensor may have an associated conductive lead (not shown) which extends through one of the lumens 422 to a signal processor (not shown) for processing the electrical signals generated by the respective temperature sensors.

By locating the mandrel 430 inside the medical device 410, a number of benefits are realized. Firstly, the mandrel is kept out of contact with the patient's tissue. Thus, when the slidable electrode 412 is displaced relative to the patient's tissue, the mandrel does not rub against the patient's tissue and thus cannot get caught on that tissue. In addition, a stiff mandrel may be used, without increasing the diameter of the overall device 410.



In operation, a target tissue may be determined by positioning the distal portion of the medical device 410 in the heart and sensing the electrical signals using one or more of the electrodes 412, 450, and 452, with the signals being transmitted to an appropriate diagnostic device via the connectors 423, or by using a different catheter with diagnostic capabilities. Once the site is located, one or more of the electrodes are moved to the proper location(s) and a power supply (not shown) is connected to one of the connectors 423 to energize one or more of the electrodes 412, 450, and 452 in either a constant voltage, power, or temperature mode as is well known to those skilled in the art. The electrodes can be energized simultaneously, sequentially, or in accordance with some other pattern. For example, the slidable electrode 412 can be energized and displaced relative to the shaft 414 to create a linear lesion, with the tip electrode 450 then being energized to perform any necessary follow-up burning as is well known in the art. Radio-frequency energy, typically in the range of about 250 KHz to 500 KHz, is delivered to the electrodes 412, 450, and 452 to ablate the patient's tissue. Energy flows from the respective electrodes 412, 450, and 452, through the tissue, to either one of the other electrodes (in a bipolar mode) or to a return plate (not shown), which is connected to the ground potential of the power supply, to complete the circuit. The flow of current through the circuit to the tissue causes heating which results in the destruction of the tissue near the electrodes 412, 450, and 452. If performed successfully, permanent interruption of the arrhythmia occurs and the patient is cured.

Often, in order to disrupt an arrhythmia, a long, continuous lesion must be formed. The medical device 410 of the present invention is designed to facilitate creating continuous lesions. A clinician may simply manipulate the medical device 410 until the displaceable electrode 412 comes into contact with the patient's tissue and is located at one end of the arrhythmia. Ablation energy, for example, RF energy, is then delivered to the electrode 412, and the electrode is left in place for an amount of time sufficient to ablate the adjacent tissue. The clinician then manipulates the electrode displacement mechanism 416 so that the electrode travel a selected distance. In one embodiment, this is achieved by sliding the slide actuator 426 relative to the control handle 424. Once in the new location, ablation energy is again delivered to the electrode so that it ablates the adjacent tissue. This procedure is repeated one or more times to create the continuous lesion, without requiring the clinician to move the catheter shaft 414 or the entire medical

device 410. Subsequently, the tip electrode 450 may be used for follow-up burning as described above.

From the foregoing, it will be apparent to those skilled in the art that the present invention provides a medical device which facilitates the creation of continuous  
5 lesions, without requiring an elongated electrode that hinders the flexibility of the medical device. In addition, the medical device of the present invention provides an easily actuated mechanism for displacing an electrode to facilitate creating continuous lesions.

It will be appreciated by persons skilled in the art that the present invention may be carried out using any of the above described configurations of electrodes and/or  
10 deflection regions and/or pre-shaped regions, as well as any other suitable configuration of electrodes and/or deflectable/pre-shaped regions.

It should be appreciated that the present invention is not limited to the specific embodiments described herein with reference to the accompanying drawing. Rather, the scope of the present invention is limited only by the claims.

## CLAIMS

1           1.       A catheter for mapping and/or ablating intracardiac tissue comprising:  
2                   a body portion; and  
3                   a distal end portion having a distal tip and accommodating at least one  
4 electrode adapted to map and/or ablate said tissue; and  
5                   a steering mechanism which controls the curvature of a region of said distal  
6 end portion near said distal tip,  
7                   wherein said steering mechanism is adapted to deflect said distal tip from a  
8 first predetermined configuration into a second, hook-shaped, configuration.

1           2.       A catheter according to claim 1 wherein said region near the distal tip of the  
2 distal end portion is pre-shaped to assume said first configuration.

1           3.       A catheter according to claim 2 wherein said first configuration is a partly  
2 deflected configuration.

1           4.       A catheter according to claim 3 wherein said region near the distal tip of the  
2 distal end portion, in said partly deflected configuration, has an inner-curve angle of  
3 between about 20 degrees and about 100 degrees.

1           5.       A catheter according to claim 1 wherein said tissue comprises an isthmus of  
2 tissue between the tricuspid annulus and the inferior vena.

1           6.       A catheter according to claim 1 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

1           7.       A catheter according to claim 1 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

1           8.     A catheter for ablating intracardiac tissue comprising:  
2                 a body portion; and  
3                 a distal end portion having a distal tip and accommodating at least one  
4     ablation electrode adapted to produce a substantially continuous, elongated, lesion in said  
5     tissue when energized with radio frequency (RF) energy; and  
6                 a distal steering mechanism which controls the curvature of a region of said  
7     distal end portion near said distal tip,  
8                 wherein said distal steering mechanism is adapted to deflect said distal tip  
9     from a first, generally straight, configuration into a second, hook-shaped, configuration.

1           9.     A catheter according to claim 8 wherein said tissue comprises an isthmus of  
2     tissue between the tricuspid annulus and the inferior vena.

1           10.    A catheter according to claim 8 and further comprising a proximal steering  
2     mechanism which controls the curvature of a proximal region of said distal end portion,  
3     wherein said proximal steering mechanism is adapted to deflect substantially the entire  
4     length of said distal end portion.

1           11.    A catheter according to claim 8 wherein the distal end portion includes a  
2     pre-shaped, curved region along a vicinity of said at least one electrode.

1           12.    A catheter according to claim 10 and further comprising an intermediate  
2     steering mechanism which controls the curvature of a region of said distal end portion  
3     along a vicinity of said at least one electrode.

1           13.    A catheter according to claim 10 wherein the distal end portion includes a  
2     pre-shaped, curved region along a vicinity of said at least one electrode.

1           14.    A catheter according to claim 13 and further comprising an intermediate  
2     steering mechanism which controls the curvature of a region of said distal end portion  
3     along a vicinity of said at least one electrode.

1           15. —A catheter according to claim 8 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

1           16.     A catheter according to claim 8 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

1           17.     A method of treating cardiac arrhythmia, comprising:  
2                 guiding a distal end portion of a catheter, the distal end portion having a  
3 distal tip and accommodating at least one ablation electrode, from the inferior vena cava  
4 into the right atrium of a human heart;  
5                 guiding said distal end portion from the right atrium into the right ventricle  
6 of said heart;  
7                 deflecting said distal tip into a hook-shaped configuration;  
8                 pulling said catheter towards the inferior vena cava until said hook-shaped  
9 configuration engages the tricuspid annulus of said heart and said at least one electrode  
10 engages the isthmus of tissue between the tricuspid annulus and the inferior vena cava of  
11 said heart; and  
12                 activating said at least one electrode to produce a substantially continuous  
13 lesion on said isthmus of tissue.

1           18.     A method according to claim 17 wherein guiding said distal end portion  
2 from the right atrium into the right ventricle includes deflecting said catheter at a proximal  
3 region of said distal end portion.

1           19.     A method according to claim 17 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

1           20.     A method according to claim 17 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

1           21.     A method of treating cardiac arrhythmia, comprising:  
2                 guiding a distal end portion of a catheter, the distal end portion having a

3 distal tip and accommodating at least one ablation electrode, from the inferior vena cava  
4 into the right atrium of a human heart;  
5 guiding said distal end portion from the right atrium into the right ventricle  
6 of said heart;  
7 pulling said catheter towards the inferior vena cava until said distal tip  
8 engages the tricuspid annulus of said heart and said at least one electrode engages the  
9 isthmus of tissue between the tricuspid annulus and the inferior vena cava of said heart;  
10 deflecting said distal tip into a hook-shaped configuration; and  
11 activating said at least one electrode to produce a substantially continuous  
12 lesion on said isthmus of tissue.

1 22. A method according to claim 21 wherein guiding said distal end portion  
2 from the right atrium into the right ventricle includes deflecting said catheter at a proximal  
3 region of said distal end portion.

1 23. A method according to claim 21 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

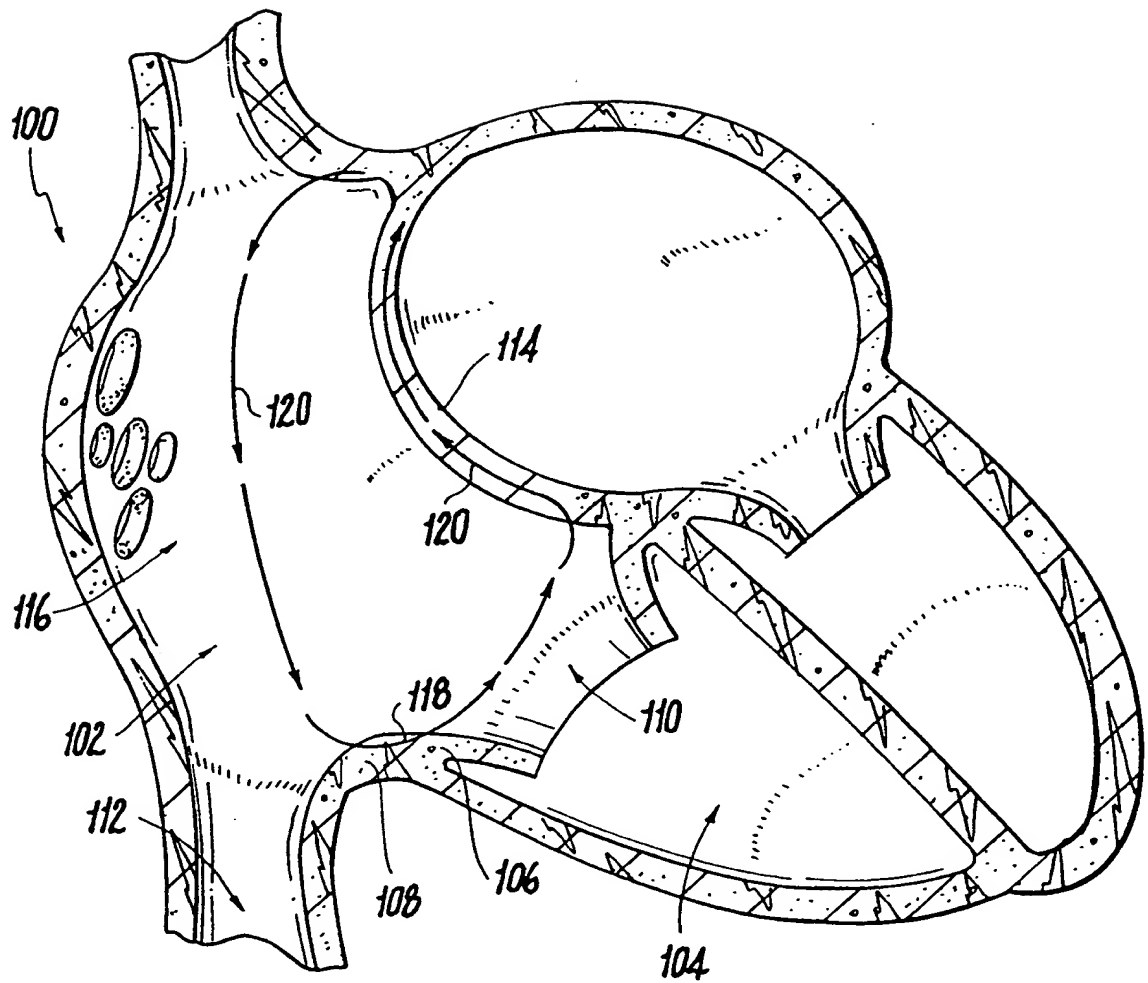
1 24. A method according to claim 21 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

1 25. A method of treating cardiac arrhythmia and/or mapping intracardiac tissue,  
2 comprising:  
3 guiding a distal end portion of a catheter, the distal end portion having a  
4 distal tip and accommodating at least one electrode, into an intracardiac region;  
5 pulling said catheter backwards until said distal tip engages an edge of an  
6 intracardiac orifice and said at least one electrode engages a target tissue in the vicinity of  
7 said intracardiac orifice;  
8 deflecting said distal tip into a hook-shaped configuration; and  
9 mapping and/or ablating a portion of said target tissue using said at least  
10 one electrode.

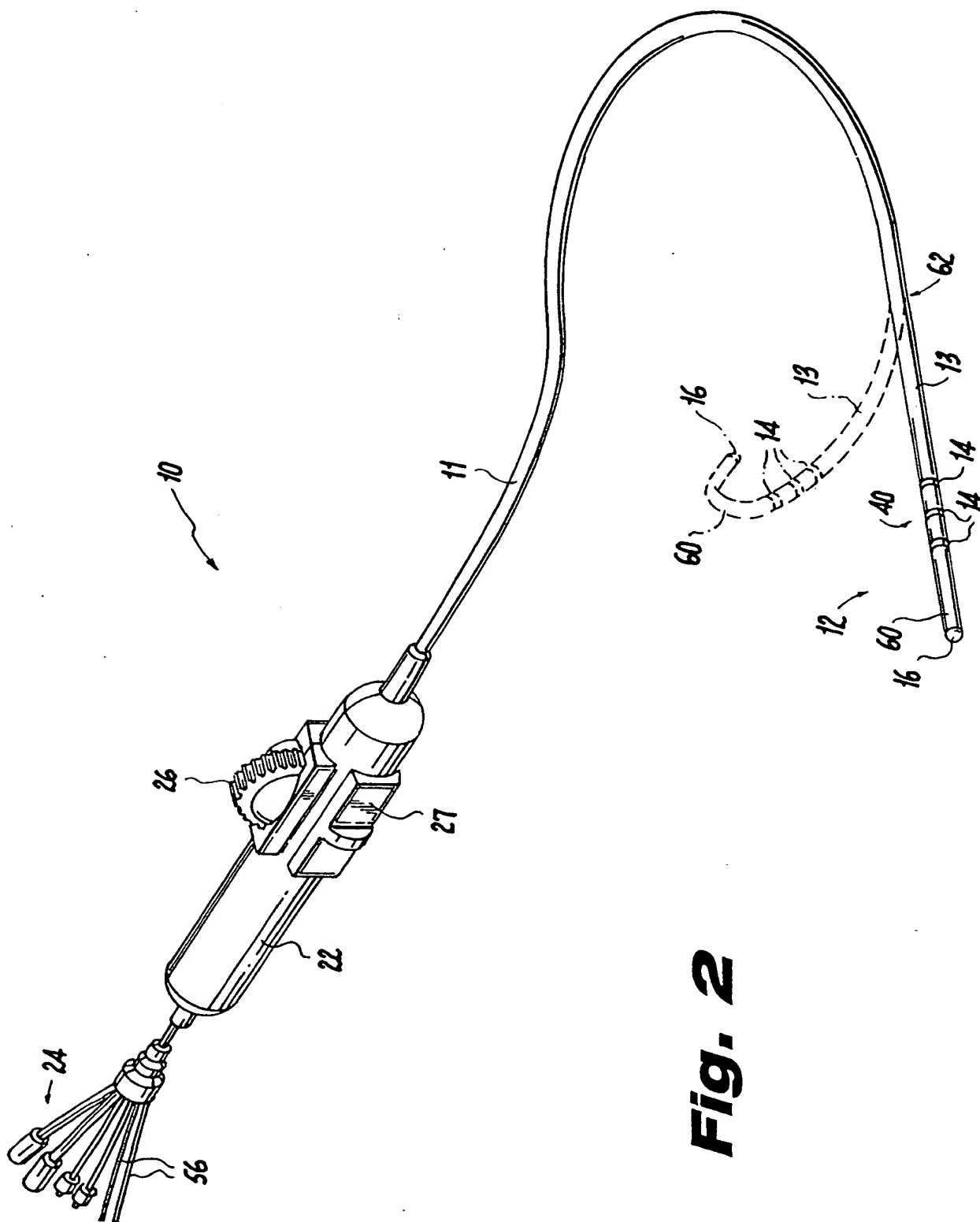
1           26.    A method according to claim 25 wherein guiding said distal end portion  
2 comprises deflecting said catheter at a proximal region of said distal end portion.

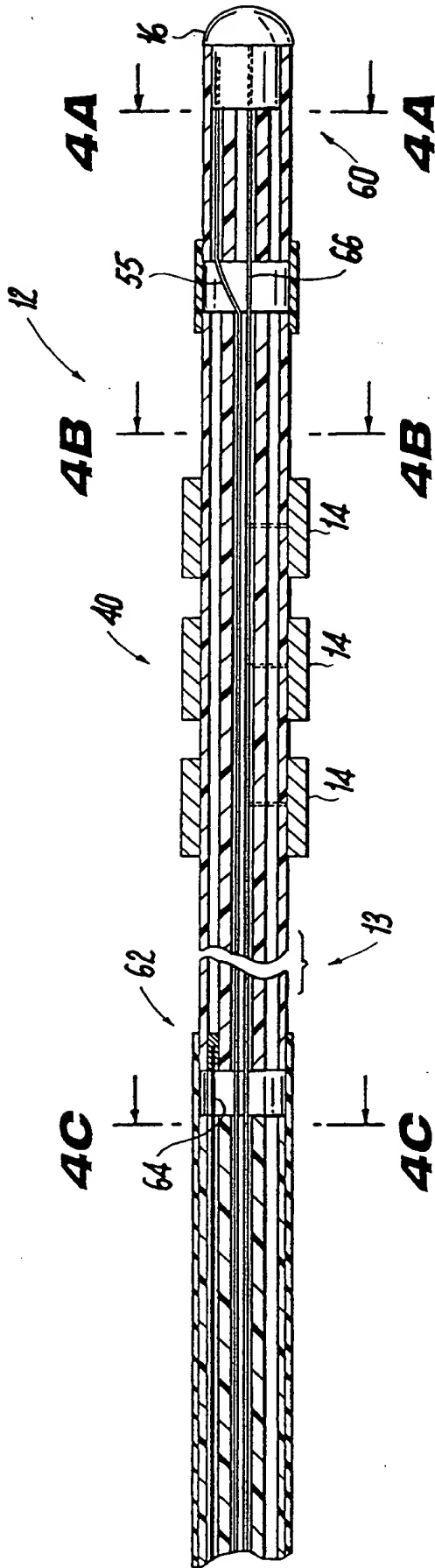
1           27.    A method according to claim 25 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

1           28.    A method according to claim 25 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

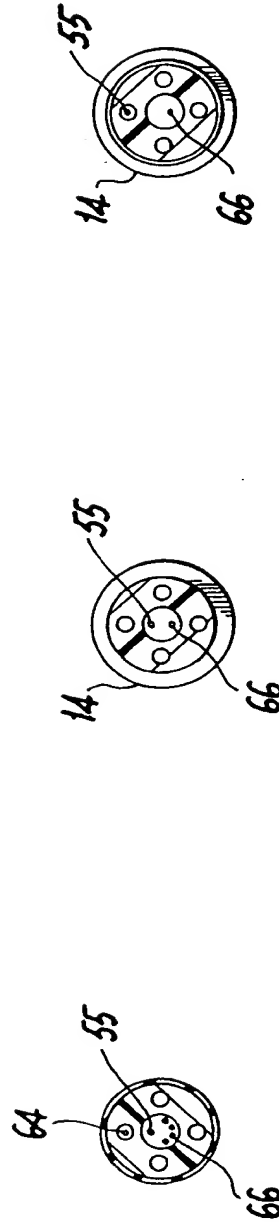
**Fig. 1**



**Fig. 2**



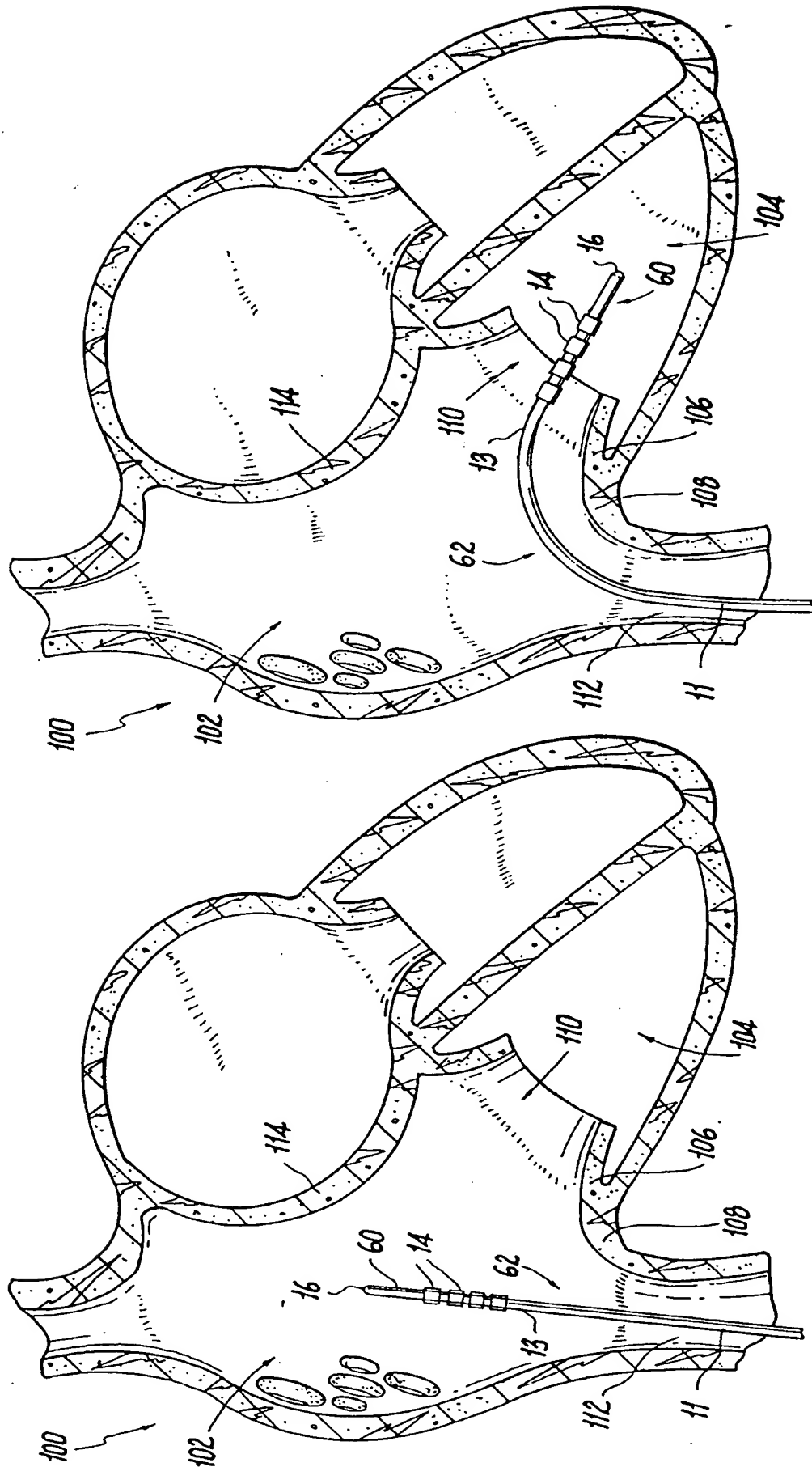
**Fig. 3**



**Fig. 4A**

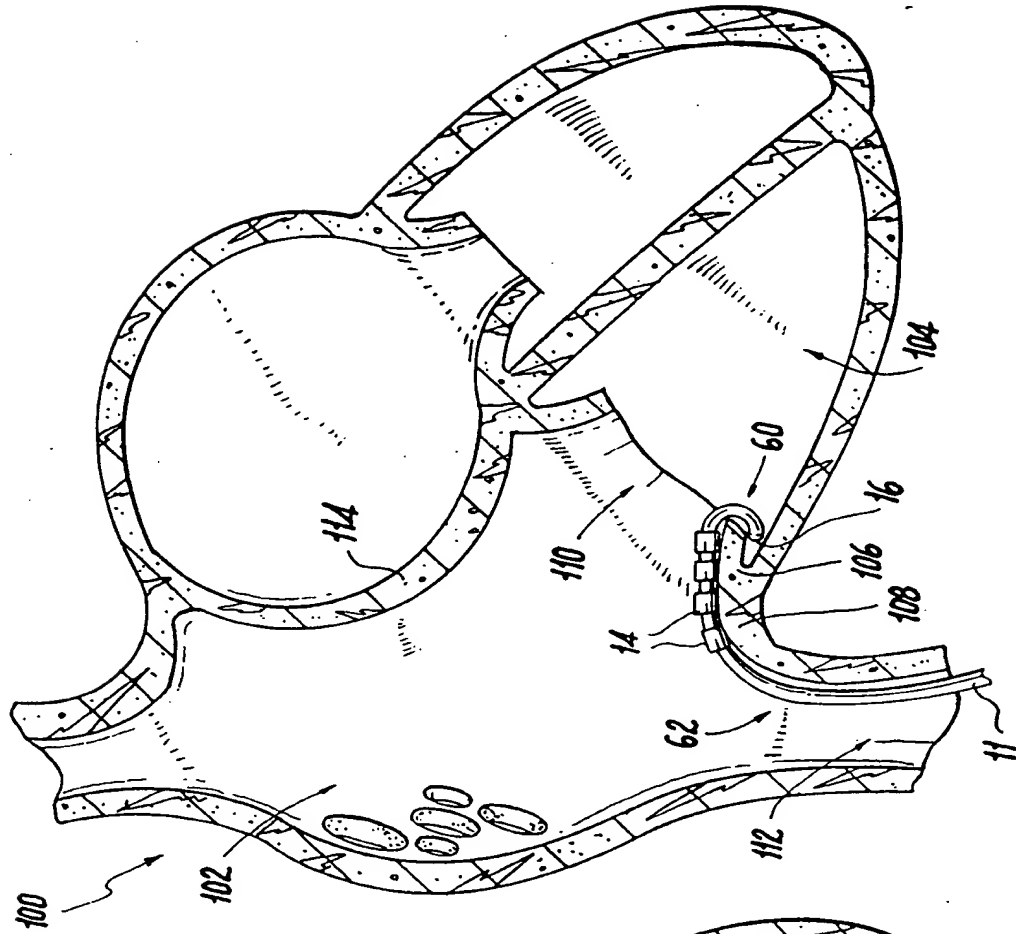
**Fig. 4B**

**Fig. 4C**

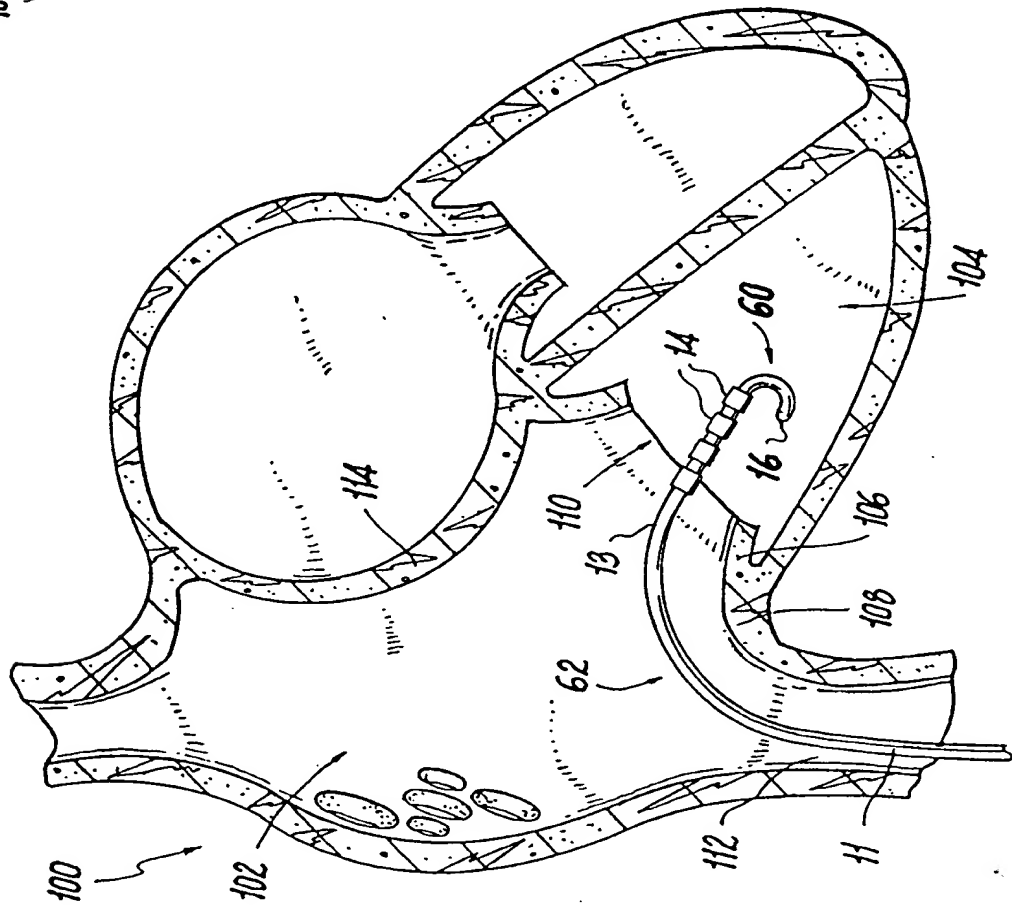


**Fig. 6**

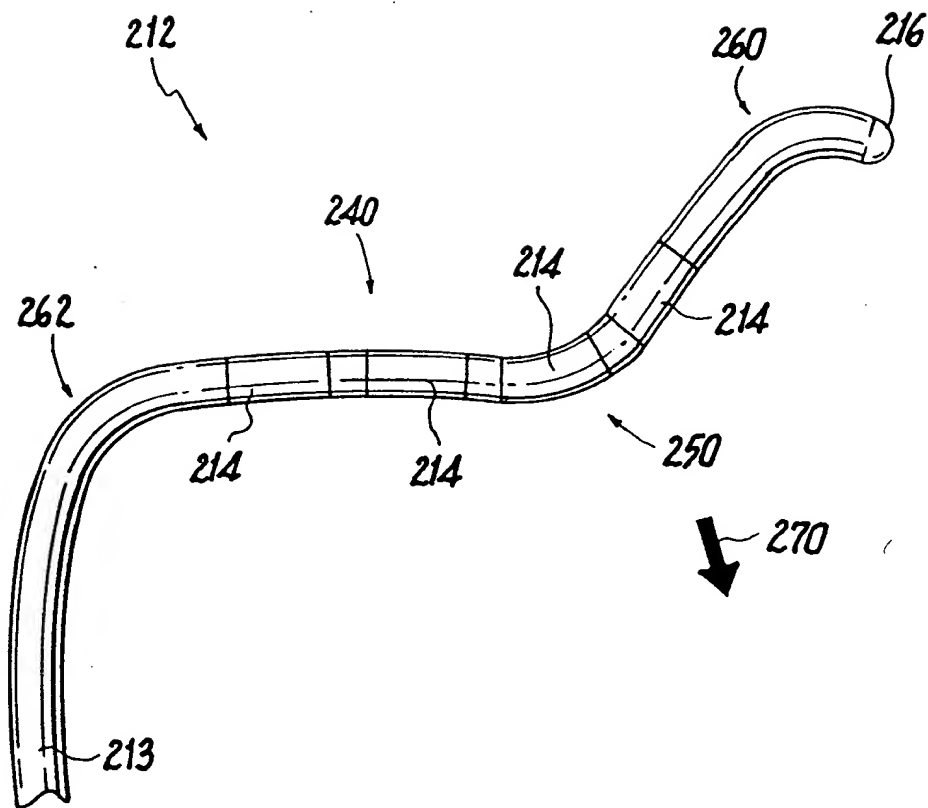
**Fig. 5**



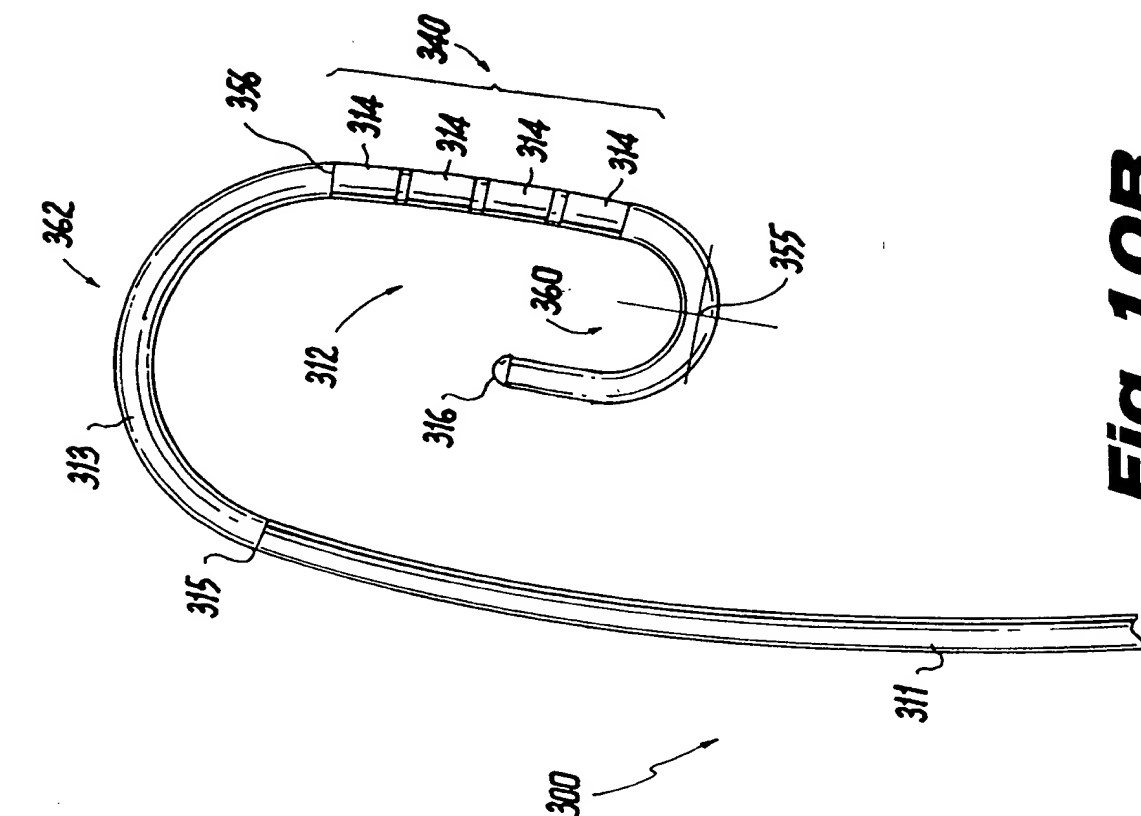
**Fig. 8**



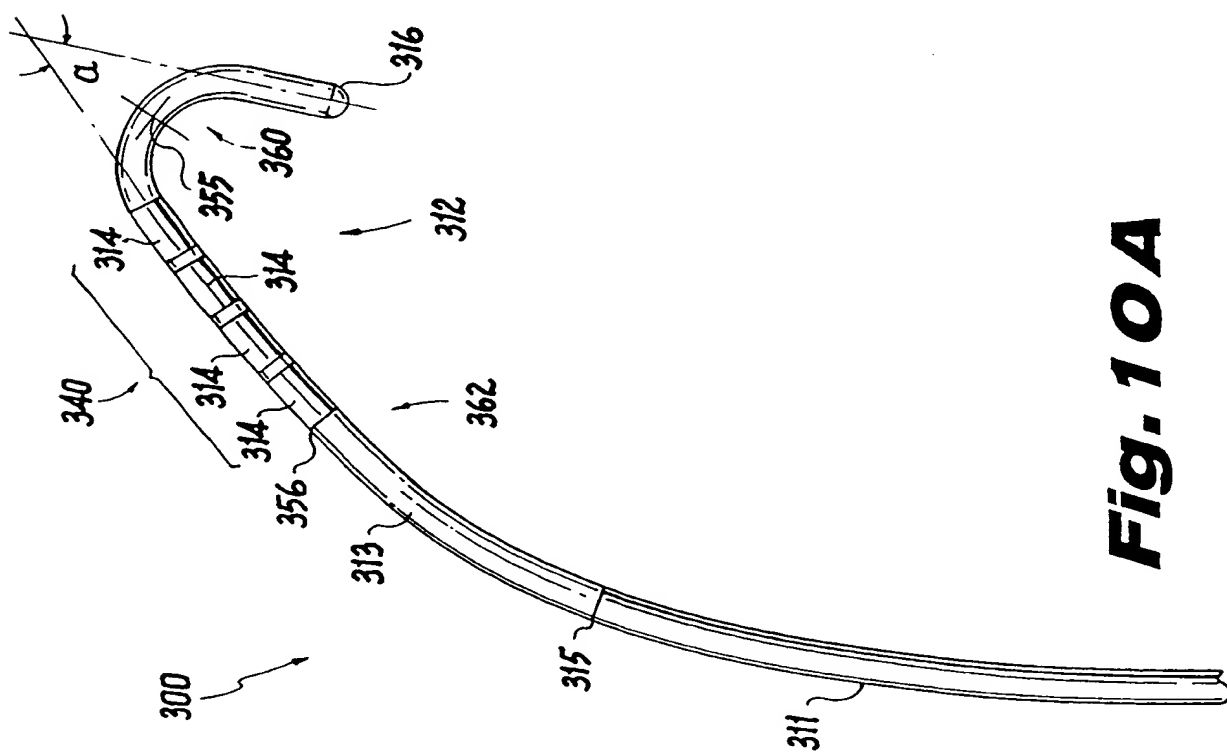
**Fig. 7**

**Fig. 9**

7/12



**Fig. 10A**



**Fig. 10B**

Fig. 11

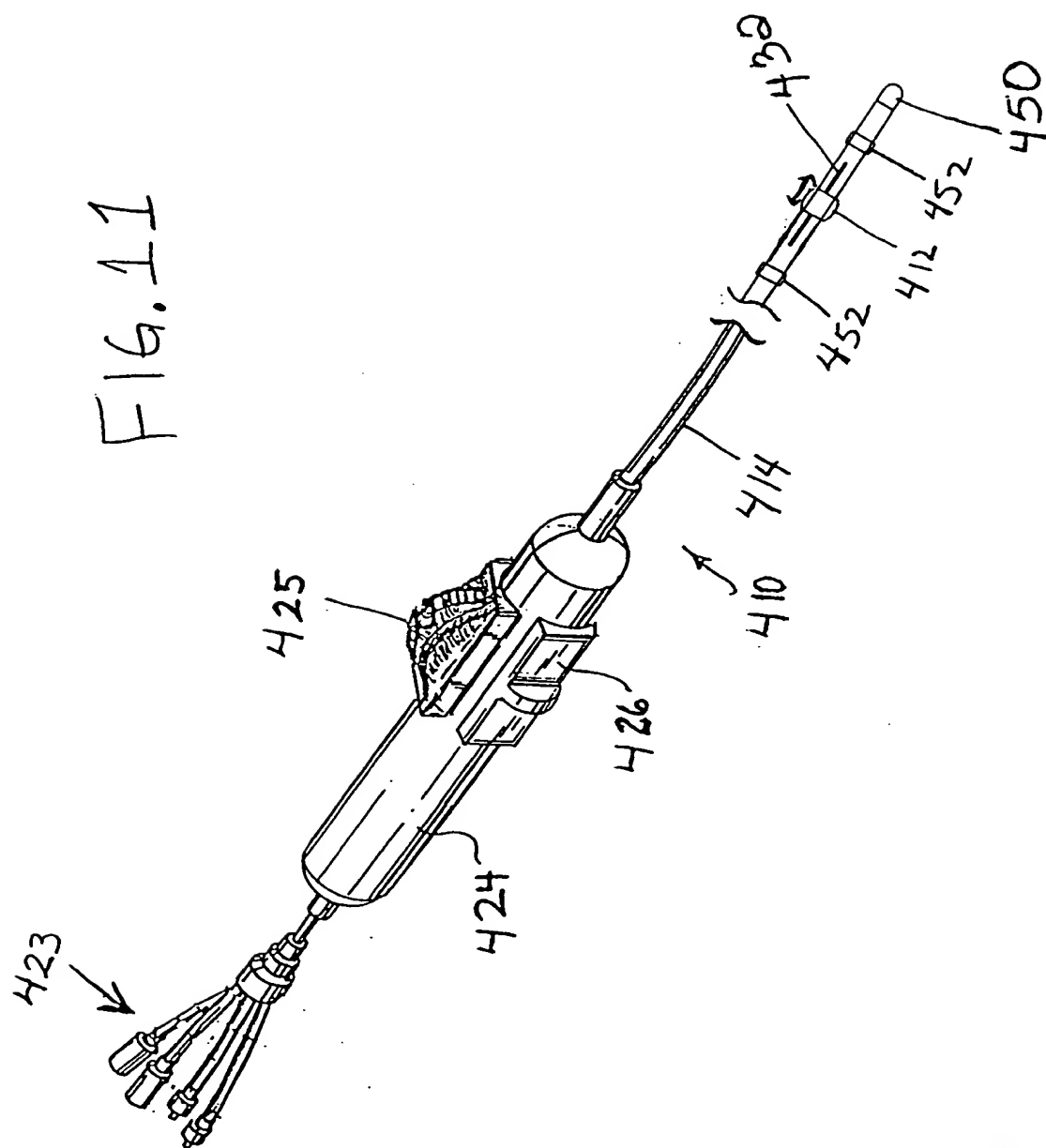


FIG. 12

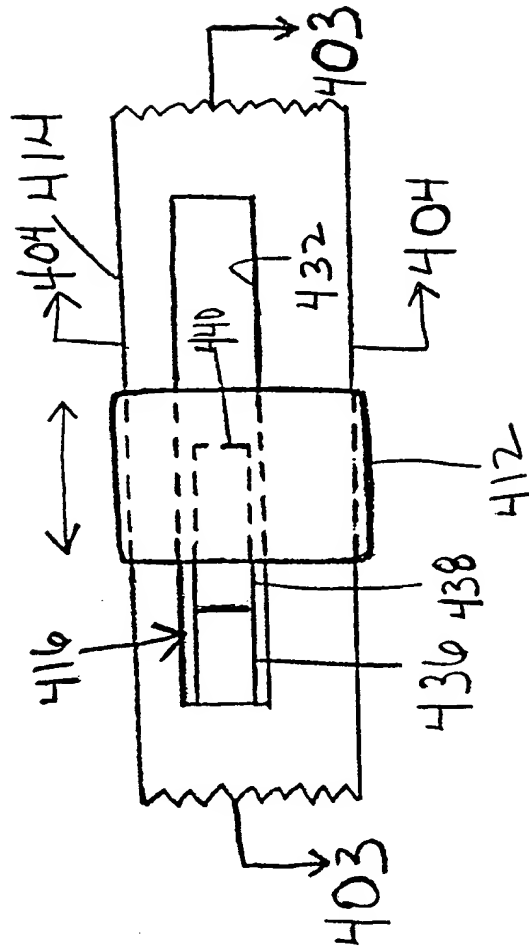
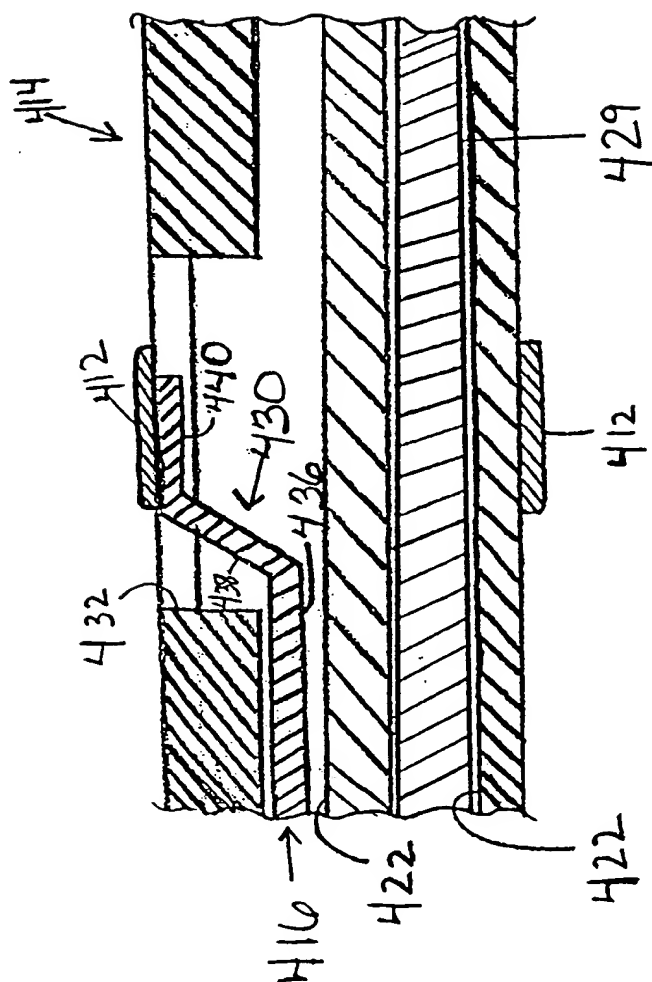
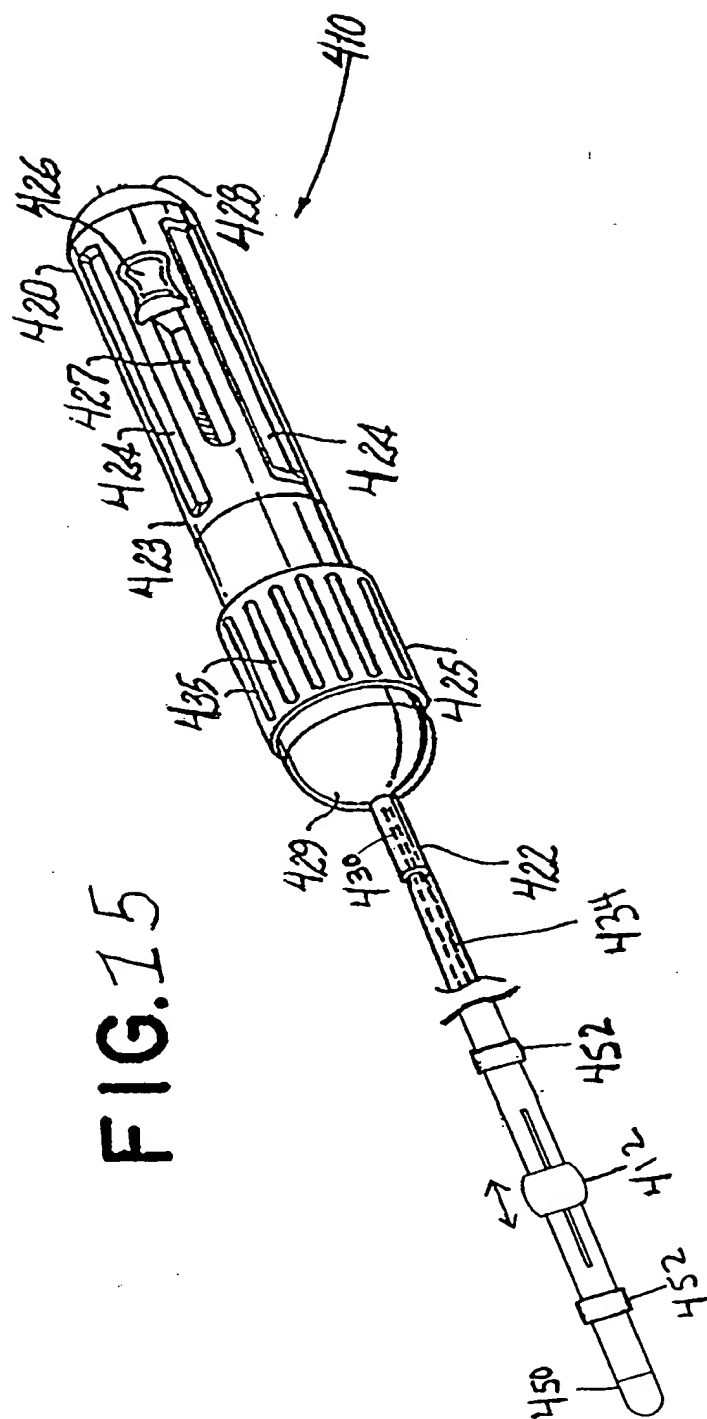




FIG. 13







# INTERNATIONAL SEARCH REPORT

Inter. Appl. No.

PCT/US 99/28233

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B18/14

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 642 736 A (AVITALL BOAZ) 1 July 1997 (1997-07-01) column 3, line 49-65 column 4, line 13-21 see in general the whole document.	1-4,6
Y	—	7,13,15
Y	WO 97 42893 A (MORGAN JOHN MARK ;CUNNINGHAM ANDREW DAVID (GB)) 20 November 1997 (1997-11-20) abstract; figures 1,3	7,16
A	US 5 673 695 A (MCGEE DAVID L ET AL) 7 October 1997 (1997-10-07) column 7, line 62 -column 8, line 2; figures 5,14	1,8
	— -/-	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

13 April 2000

Date of mailing of the international search report

26/04/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3018

Authorized officer

Papone, F

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 99/28233

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 10318 A (EP TECHNOLOGIES) 20 April 1995 (1995-04-20) abstract; figures 1-3	8
Y	_____	9-16
Y	US 5 545 200 A (NGUYEN FRANK ET AL) 13 August 1996 (1996-08-13) column 10, line 1-9	9
Y	_____	
Y	US 5 462 527 A (STEVENS-WRIGHT DEBBIE ET AL) 31 October 1995 (1995-10-31) cited in the application abstract; figure 2	10-12, 14
	_____	

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 28233

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 17-25  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

information on patent family members

Inter. Appl. Application No.

PCT/US 99/28233

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5642736 A	01-07-1997	US 5327905 A	12-07-1994
		US 5354297 A	11-10-1994
		CA 2117487 A,C	19-08-1993
		CN 1082382 A	23-02-1994
		EP 0625919 A	30-11-1994
		JP 7504834 T	01-06-1995
		WO 9315790 A	19-08-1993
WO 9742893 A	20-11-1997	EP 0906064 A	07-04-1999
US 5673695 A	07-10-1997	US 5860920 A	19-01-1999
WO 9510318 A	20-04-1995	CA 2174129 A	20-04-1995
		CA 2174131 A	20-04-1995
		EP 0754075 A	22-01-1997
		EP 0723469 A	31-07-1996
		JP 9509069 T	16-09-1997
		JP 10507373 T	21-07-1998
		WO 9510226 A	20-04-1995
		WO 9510327 A	20-04-1995
		US 5582609 A	10-12-1996
		US 5860920 A	19-01-1999
		WO 9510321 A	20-04-1995
		WO 9510319 A	20-04-1995
		WO 9510236 A	20-04-1995
		US 5545193 A	13-08-1996
		US 5871523 A	16-02-1999
		US 6001093 A	14-12-1999
		US 5991650 A	23-11-1999
		WO 9510225 A	20-04-1995
		US 5637090 A	10-06-1997
		US 6030382 A	29-02-2000
		US 5797905 A	25-08-1998
		US 5810802 A	22-09-1998
US 5545200 A	13-08-1996	AU 683603 B	13-11-1997
		AU 7052296 A	23-01-1997
		AU 670894 B	01-08-1996
		AU 8150394 A	30-05-1996
		CA 2138236 A,C	23-05-1996
		DE 9420821 U	27-04-1995
		US 5487757 A	30-01-1996
US 5462527 A	31-10-1995	CA 2110668 A	05-06-1994
		EP 0605796 A	13-07-1994
		JP 6292728 A	21-10-1994
		US 5715817 A	10-02-1998

**THIS PAGE BLANK (USPTO)**



CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
8 June 2000 (08.06.2000)

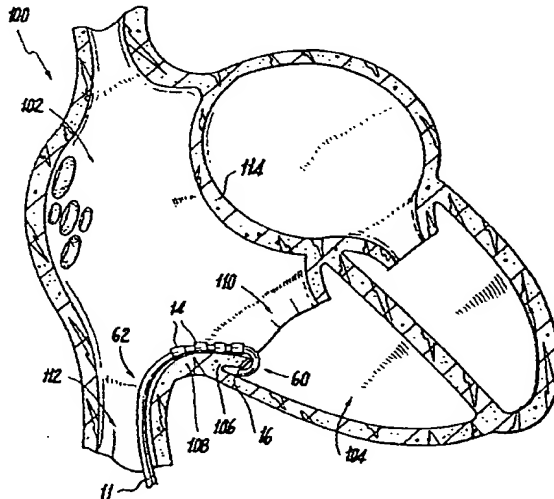
PCT

(10) International Publication Number  
WO 00/32129 A1

- (51) International Patent Classification<sup>7</sup>: A61B 18/14
- (21) International Application Number: PCT/US99/28233
- (22) International Filing Date:  
23 November 1999 (23.11.1999)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/197,812 23 November 1998 (23.11.1998) US  
09/203,922 2 December 1998 (02.12.1998) US  
09/434,599 5 November 1999 (05.11.1999) US
- (63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:  
US 09/197,812 (CIP)  
Filed on 23 November 1998 (23.11.1998)
- (71) Applicant (for all designated States except US): C.R. BARD, INC. [US/US]; 730 Central Avenue, Murray Hill, NJ 07974 (US).
- (72) Inventors; and  
(75) Inventors/Applicants (for US only): FALWELL, Gary, S. [US/US]; 28 Trolley Street, Manchester, NH 03103 (US). MCRURY, Ian, D. [US/US]; 20 Woodland Drive #323, Lowell, MA 01852 (US). PETERSON, Michael, C. [US/US]; 23 Bourbeau Terrace, Newburyport, MA 01950 (US). WANG, Paul, J. [US/US]; 750 Washington Street, Boston, MA 02111 (US). GIBSON, Charles, A. [US/US]; 73 Hill Street, Malden, MA 02148 (US).
- (74) Agents: SWEEDLER, Michael, J. et al.; Darby & Darby P.C., 805 Third Avenue, New York, NY 10022-7513 (US).
- (81) Designated States (national): CA, JP, MX, US.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

[Continued on next page]

(54) Title: INTRACARDIAC GRASP CATHETER



(57) Abstract: A method of treating cardiac arrhythmia, including guiding a distal end portion of a catheter, the distal end portion having a distal tip and accommodating at least one ablation electrode into a desired intracardiac region, for example, from the inferior vena cava into the right atrium of a human heart, and then from the right atrium into the right ventricle of the heart, pulling the catheter backwards, for example, towards the inferior vena cava, until the distal tip engages an edge of an intracardiac orifice, for example, the tricuspid annulus whereby the at least one electrode engages a target tissue, for example, the isthmus of tissue between the tricuspid annulus and the inferior vena cava, deflecting the distal tip into a hook-shaped configuration, and activating the at least one electrode to produce a substantially continuous lesion on the target tissue. The method makes use of a catheter pre shaped at its distal end, steerable with pull wires in two different normal planes, having one electrode which slides along the distal tip of the catheter.

WO 00/32129 A1



**Published:**

— *With international search report.*

**(15) Information about Correction:**

see PCT Gazette No. 28/2001 of 12 July 2001, Section II

**(48) Date of publication of this corrected version:**

12 July 2001

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## **INTRACARDIAC GRASP CATHETER**

### **FIELD OF THE INVENTION**

5 The present invention relates to a steerable medical catheter and, more particularly, to a flexible, electrode-bearing catheter of the type used in electrophysiological studies for intracardiac electrocardiographic recording, mapping, stimulation and ablation.

### **BACKGROUND OF THE INVENTION**

10 Catheters are often used in medical procedures to provide physical access to remote locations within a patient via a relatively small passageway, reducing the need for traditional invasive surgery. The catheter tube can be inserted into an artery or other passageway through a relatively small incision in the patient's body, and threaded through the patient's system of blood vessels to reach the desired target.

15 Various types of catheters are used in various procedures, both diagnostic and therapeutic. One general type of catheter used for both diagnostic and therapeutic applications is a cardiac electrode catheter. The diagnostic uses for a cardiac electrode catheter include recording and mapping of the electrical signals generated in the course of normal (or abnormal) heart function. Therapeutic applications include pacing, or  
20 generating and placing the appropriate electrical signals to stimulate the patient's heart to beat in a specified manner, and ablation. In an ablation procedure, electrical or radio-

frequency energy is applied through an electrode catheter to form lesions in a desired portion of the patient's heart, for example the right atrium. When properly made, such lesions will alter the conductive characteristics of portions of the patient's heart, thereby controlling the symptoms of supra-ventricular tachycardia, ventricular tachycardia, atrial flutter, atrial fibrillation, and other arrhythmias.

Such a catheter is typically placed within a desired portion of the patient's heart or arterial system by making a small incision in the patient's body at a location where a suitable artery or vein is relatively close to the patient's skin. The catheter is inserted through the incision into the artery and manipulated into position by threading it through a sequence of arteries, which may include branches, turns, and other obstructions.

Once the cardiac electrode catheter has been maneuvered into the region of interest, the electrodes at the distal end of the catheter are placed against the anatomical feature or area sought to be diagnosed or treated. This can be a difficult procedure. The electrophysiologist manipulating the catheter typically can only do so by operating a system of controls at the proximal end of the catheter shaft. The catheter can be advanced and withdrawn longitudinally by pushing and pulling on the catheter shaft, and can be rotated about its axis by rotating a control at the proximal end. Both of these operations are rendered even more difficult by the likelihood that the catheter must be threaded through an extremely tortuous path to reach the target area. Finally, once the tip of the catheter has reached the target area, the electrodes at the distal end of the catheter are placed in proximity to the anatomical feature, and diagnosis or treatment can begin.

In the past, the difficulties experienced by electrophysiologists in the use of a cardiac electrode catheter have been addressed in a number of different ways.

To facilitate maneuvering a catheter through a tight and sinuous sequence of arterial or venous passageways, catheters having a pre-shaped curve at their distal end have been developed. To negotiate the twists and branches common in a patient's arterial or venous system, the catheter typically is rotatable to orient the pre-shaped curve in a desired direction. Although the tip of the catheter may be somewhat flexible, the curve is fixed into the catheter at the time of manufacture. The radius and extent of the curvature generally cannot be altered. Therefore, extensive pre-surgical planning is frequently necessary to determine what curvature of catheter is necessary. If the predicted curvature turns out to be incorrect, the entire catheter may need to be removed and replaced with one

having the proper curvature. This is an expensive and time-consuming ordeal, as catheters are generally designed to be used only once and discarded. Moreover, the additional delay may place the patient at some additional risk.

5 A variation of the pre-shaped catheter uses a deflectable curve structure in the tip. This type of catheter has a tip that is ordinarily substantially straight, but is deflectable to assume a curved configuration upon application of force to the tip. However, the tip deflection is not remotely controllable. In a certain patient's arterial system, a point may be reached at which the proper force cannot be applied to the catheter tip. In such cases, the catheter must be withdrawn and reinserted through a more  
10 appropriate passage, or another catheter with a different tip configuration must be used.

Another attempt to facilitate the placement of catheters takes the form of a unidirectional steering catheter. A typical unidirectional steering catheter has a steering mechanism, such as a wire, that extends the length of the catheter to the distal tip. The steering mechanism is coupled to the tip in such a way that manipulation of the proximal  
15 end of the mechanism (e.g., by pulling the steering wire) results in deflection of the catheter tip in a single direction. This type of catheter is illustrated, for example, in U.S. Patent No. 5,125,896 issued to Hojeibane. The direction of deflection can be controlled by embedding a ribbon of wire in the tip; the ribbon is flexible along one dimension but not in others. This type of catheter can further be controlled by rotating the entire shaft of the  
20 catheter; in this manner, the direction of bend within the patient can be controlled. The shaft of such a catheter must be strong enough to transmit torque for the latter form of control to be possible.

U.S. Patent 5,383,852 to Stevens-Wright describes a steerable electrocardial catheter including a flexible tip assembly having a proximal and a distal  
25 section. In this catheter, two steering mechanisms are used to separately control bending of either or both the proximal and distal sections. The steering mechanisms for the proximal and distal sections include separate steering wires, as described above, which are coupled to the proximal and distal sections, respectively.

30 Bidirectional steering catheters also exist. The distal end of a bidirectional steering catheter can be maneuvered in two planes, allowing the tip to be positioned with greater accuracy. However, bidirectional steering catheters are complex mechanically and are often difficult to manipulate.

Although the foregoing types of catheters address the issue of maneuverability in different ways, none of them is ideally configured to maintain contact with and apply a desired amount of pressure to a desired anatomical feature, such as an atrial wall.

5 One device used for the latter purpose is known as a basket catheter. See, for example, the HIGH DENSITY MAPPING BASKET CATHETER manufactured by Cardiac Pathways Corporation. A basket catheter has several spring-biased arms near the distal tip. When these arms are unconstrained, they bow outward to define a basket-like shape. The arms of the basket are constrained for implantation in a sheath structure.  
10 When the tip of the catheter has reached the desired location, the sheath is retracted, or the arms are advanced out of the sheath.

However, because the tip of the catheter is sheathed, it is not easily steerable into location, and is not as flexible as one might desire. Moreover, the sheath adds bulk to the device, which might significantly limit the range of applications in which the basket catheter can be used. The basket has only one shape and size. Once the arms  
15 are deployed from the sheath, the basket assumes a single configuration defined upon manufacture. If the predefined configuration of the basket is not suitable, then substantially no correction is possible. Also, known basket catheters are not indicated for use in high-energy therapeutic applications, such as ablation.

20 A variable-geometry sheathed electrode catheter is also known in the art. This device has a single electrode-bearing tip portion that is initially disposed within a relatively inflexible sheath. When the tip portion is advanced with respect to the sheath, the tip portion bows out of a slot-shaped aperture in the sheath. The shape of the tip portion can be controlled to apply a desired amount of pressure to an anatomical feature.  
25 However, as a sheath is used around the catheter, the device is not easily steerable into location. Moreover, as discussed above, the sheath structure adds undesirable bulk to the device.

Radio frequency ablation (RFA) has become the treatment of choice for specific rhythm disturbances. To eliminate the precise location in the heart from which an arrhythmia originates, high frequency radio waves are generated onto the target tissue,  
30 whereby heat induced in the tissue burns the tissue to eliminate the source of arrhythmia.

For successful ablation treatment, e.g., to produce a lesion at a given

anatomical site, it is generally required that the catheter be accurately positioned at the ablation site and that continuous contact be maintained between the electrode and the ablation site for the duration of the ablation treatment.

5 U.S. Patent 5,617,854 to Munsif describes, inter alia, a pre-shaped catheter particularly useful for ablating in the vicinity of the sinoatrial node, the left atrium, and up to the mitral valve. The tip of the catheter is formed of a temperature-sensitive shape-memory material, e.g., Nitinol, or is otherwise invoked to assume a segmented configuration upon reaching a desired position. The segmented configuration includes a distal segment which bears an ablation electrode. In operation, the segmented shape  
10 produces tension which urges the ablation electrode on the distal segment into contact with a wall of the left atrium, while other segments are urged against other tissue. Since the shape of the catheter tip is fixed, the tip is not easily manipulated. Further, the tension produced between the segments of the catheter tip is dependent on the shape and dimensions of the ablation site, e.g., the left atrium.

15 Atrial fibrillation and atrial flutter are the most common type of arrhythmia found in clinical practice. Although the potential adverse consequences of these types of arrhythmia is well known, the basic electrophysiological mechanisms and certain management strategies to control these types of arrhythmia have been understood only recently.

20 Reference is made to Fig. 1 which schematically illustrates a cross-section of a human heart 100 showing typical atrial flutter circuits. Such circuits includes macro-entrant, counter-clockwise, pathways 120 from the right atrium 102, through the inter-atrial septum 114, down the free wall 116, and across the isthmus of tissue 108 between the inferior vena cava 112 and the tricuspid annulus 106 of the tricuspid valve 110.

25 Most electrophysiologists recommend treating atrial flutter by producing a linear contiguous lesion 118 at the isthmus of tissue 108, between vena cava 112 and the tricuspid annulus 106. Linear lesion 118 can be produced by RF ablation electrodes which are placed in contact with tissue 108. It is contemplated that isthmus tissue 108 is a critical link of the atrial flutter circuit and, thus, linear lesion 118 is expected to terminate this  
30 source of arrhythmia and prevent the recurrence of such arrhythmia.

Existing ablation treatment for atrial flutter includes the use of a catheter bearing at least one single or bi-polar ablation electrode. Unfortunately, an undue amount

of time is spent in correctly positioning the ablation electrode of the catheter against the site to be treated. Further, in existing electrode catheter configurations, the catheter must generally be repeatedly repositioned until an acceptable lesion 118 is produced. Thus, lesion 118 is often non-continuous, i.e., there may be gaps in the lesion line which may require further repositioning of the ablation catheter. Such repeated repositioning of the catheter is time consuming and may result in prolonged, potentially harmful, exposure of patients to X-ray radiation.

Accordingly, there is a need for a cardiac electrode catheter that can be conveniently and quickly steered into secured, operative, engagement with a preselected portion of the isthmus of tissue between the inferior vena cava and the tricuspid annulus, to produce a predefined, substantially continuous, lesion on this isthmus of tissue.

The difficulties in steering, positioning and providing secured contact of an electrode catheter, with reference to the isthmus of tissue between the inferior vena cava and the tricuspid annulus, are also applicable in mapping and/or ablating other intracardiac sites. For example, steering and positioning difficulties may arise in mapping and possible ablation in the vicinity of the coronary sinus.

### SUMMARY OF THE INVENTION

The present invention seeks to provide a steerable electrode catheter having a relatively flexible distal end portion accommodating at least one electrode, for example, an elongated configuration of mapping/ablation electrodes, that can be conveniently guided to a predetermined intracardiac site, for example, to the vicinity of the tricuspid valve, and that can be steered into a shape which enables convenient positioning of at least one electrode in secure operative engagement with a predetermined mapping and/or ablation site, for example, an ablation site along the isthmus of tissue between the inferior vena cava and the tricuspid annulus. If ablation of tissue is required, an electrode catheter in accordance with the present invention may be used to produce a predefined, elongated, substantially continuous, lesion at the ablation site.

According to an embodiment of the present invention, the catheter includes a flexible distal end portion which may be controlled by one or two steering mechanisms, namely, a distal steering mechanism and/or a proximal steering mechanism. The distal steering mechanism may be adapted to deflect only the tip of the distal end portion into a



hook-shaped configuration. The proximal steering mechanism may be adapted to deflect the entire distal end portion. Alternatively, the distal end portion of the electrode catheter may have a pre-shaped distal tip, e.g., the tip may be pre-shaped into a partly deflected configuration. In this alternative embodiment, a single steering mechanism may be used both to deflect the distal end portion and to further shape the pre-shaped distal tip into the desired hook-shaped configuration.

In another embodiment of the present invention, the distal end portion of the electrode catheter may be adapted to be steerable or deflectable at three regions, namely, a distal tip deflection region, an intermediate deflection region, and a proximal deflection region. The curvature of the distal end portion at the intermediate deflection region, in addition to either or both of the distal tip deflection region and the proximal deflection region, enables more flexibility in conforming the shape of the distal end portion of the catheter to the shape of the target tissue, e.g., the above mentioned isthmus of tissue, during mapping and/or ablation of the target tissue. In an embodiment of the present invention, the intermediate deflection region is adapted to be curved towards the target tissue, thereby to provide improved contact with the target tissue when the end portion of the catheter is urged against the target tissue.

In yet another embodiment of the present invention, the distal end portion is not deflectable at the intermediate region but, rather, the distal end portion is formed of a resilient material and is pre-shaped to have a predetermined curvature at the intermediate region. In this embodiment of the invention, when the distal end portion is urged against the target tissue, the curvature of the intermediate region changes until the electrode configuration on the distal end portion conforms to the shape of the target tissue. This ensures urged contact between the at least one electrode and the target tissue without an additional steering mechanism.

In still another embodiment of the present invention, at least one displaceable electrode may be used in addition to or instead of the elongated configuration of electrodes described above, to produce an elongated lesion on the target tissue. A displaceable electrode that may be suitable for use in conjunction with this embodiment of the invention is described in co-pending U.S. Patent Application No. 09/203,922, entitled "Internal Mechanism for Displacing a Slidable Electrode", filed December 2, 1998, the disclosure of which is expressly incorporated herein by reference.

According to an embodiment of the present invention, at least one ablation electrode is brought into secured engagement with a target tissue, for example, the isthmus of tissue between the inferior vena cava and the tricuspid annulus, as follows. First, the distal end of the catheter is guided into the right atrium. As the distal end of the catheter advances in the right atrium, the proximal steering mechanism may be activated to deflect the entire distal end portion, such that the distal end portion may be conveniently inserted into the right ventricle. Once the distal end portion is inside the right ventricle, the distal steering mechanism is activated to produce the hook-shape configuration at the tip of the distal end portion. Then, the catheter is pulled back, i.e., in the direction of the right atrium, until the hook-shaped tip of the distal end is anchored at the tricuspid annulus. The catheter may then be pulled further back and the curvature of the distal end portion may be adjusted, e.g., using the proximal steering mechanism, until the at least one ablation electrode securely engages an ablation site along the isthmus of tissue between the tricuspid annulus and the inferior vena cava. Once such secured engagement is obtained, the at least one ablation electrode may be activated to produce a substantially continuous, linear, lesion at the ablation site.

As mentioned above, a catheter having a pre-shaped distal tip may alternatively be used. In such case, the catheter may be guided into the right ventricle and then pulled back until the pre-shaped tip is anchored at the tricuspid annulus, obviating the step of deflecting the distal tip before pulling back the catheter. The catheter may then be pulled further back and the curvature of the distal end portion may be adjusted, e.g., using the proximal steering mechanism, as described above, until the distal tip assumes the desired hook-shaped configuration that provides a firm grip of the tricuspid annulus and secure engagement between the at least one ablation electrode and the ablation site, e.g., along the isthmus of tissue between the tricuspid annulus and the inferior vena cava.

An electrode catheter configuration as described above may be used, in some preferred embodiments of the invention, for mapping and/or ablation of tissue at other intracardiac location where anchoring onto an edge of an orifice may be helpful in correctly and securely positioning an electrode catheter. For example, a configuration as described above may be useful for mapping and, possibly, ablating of tissue in the vicinity of the coronary sinus, by maneuvering the distal end of the catheter into the coronary sinus and pulling the catheter back until the distal tip of the catheter is anchored at an edge of

the coronary sinus orifice.

### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be understood and appreciated more fully from the following detailed description of the preferred embodiment taken in conjunction with the accompanying drawings in which:

Fig. 1 is a schematic, cross-sectional, illustration of a human heart showing an atrial flutter circuit including an isthmus of tissue between the inferior vena cava and the tricuspid annulus;

Fig. 2 is a schematic, perspective, illustration of an electrode catheter in accordance with an embodiment of the present invention;

Fig. 3 is a schematic, side view, cross-sectional, illustration of a distal end portion of the electrode catheter of Fig. 2;

Figs. 4A-4C are schematic, front view, cross-sections of the distal end portion of Fig. 3, taken along section lines A-A, B-B and C-C, respectively;

Fig. 5 is a schematic, cross-sectional, illustration of the human heart, showing the electrode catheter of Fig. 2 being introduced into the right atrium;

Fig. 6 is a schematic, cross-sectional, illustration of the human heart, showing the electrode catheter of Fig. 2 being steered from the right atrium into the right ventricle;

Fig. 7 is a schematic, cross-sectional, illustration of the human heart, showing the tip of the electrode catheter of Fig. 2 being deflected into a "hook" shape;

Fig. 8 is a schematic, cross-sectional, illustration of the human heart, showing the electrode catheter of Fig. 2 being pulled back to engage the isthmus of tissue between the inferior vena cava and the tricuspid annulus with the tip of the catheter anchored at the tricuspid annulus;

Fig. 9 is a schematic illustration of an end portion of an electrode catheter in accordance with another embodiment of the present invention;

Figs. 10A and 10B are schematic illustrations of part of an electrode catheter in accordance with yet another embodiment of the present invention, in a non-deflected configuration and a deflected configuration, respectively.

Fig. 11 is a schematic, perspective, illustration of a medical device

carrying a displaceable electrode which may be used in conjunction with still another embodiment of the present invention;

Fig. 12 is a fragmented side view, in enlarged scale, of the displaceable electrode included in the medical device of Fig. 1;

5 Fig. 13 is a cross-sectional view taken along the line 403-403 of Fig. 2 and looking in the direction of the arrows;

Fig. 14 is a cross-sectional view taken along the line 404-404 of Fig. 2 and looking in the direction of the arrows; and

10 Fig. 15 is a schematic, perspective, illustration of an alternative embodiment of the medical device of Fig. 11.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Reference is made to Fig. 2 which schematically illustrates a perspective view of an ablation and/or mapping catheter 10 in accordance with an embodiment of the present invention.

15 Catheter 10 includes a handle portion 22, electric connectors 24, a tubular catheter shaft 11 and a distal end portion 12 including an end shaft 13. Distal end portion 12 includes a distal tip 16, a distal tip deflection region 60 and a proximal deflection region 62. According to the present invention, distal end portion 12 can be steered from a generally straight configuration, indicated by the solid lines in Fig. 1, to a deflected configuration, indicated by the broken lines in Fig. 1. The broken line configuration in Fig. 1 also illustrates how distal deflection region 60 can be deflected into a hook-shaped configuration, as described in detail below.

20 In an embodiment of the present invention, tip 16 may include a sensor or mapping electrode, as is known in the art, for monitoring the electric potential of tissue in contact therewith. This may be helpful in guiding and positioning distal end portion 12, as described below. Additionally or alternatively, tip 16 may include an ablation electrode for ablating tissue in contact therewith.

30 Reference is now also made to Fig. 3 which schematically illustrates a side-view, cross-section, of distal end portion 12. End shaft 13, which is preferably hollow as shown in Fig. 3, accommodates an elongated configuration 40 of ablation electrodes 14.

Elongated configuration 40 may include any number of electrodes 14, with a predetermined spacing therebetween, or a single elongated electrode, as known in the art, adapted to produce a substantially continuous, substantially linear, lesion when brought into operative engagement with a target tissue. Electrodes 14 are preferably all ring-electrodes covering the entire circumference of shaft 13. Additionally or alternatively, electrodes configuration 40 may include at least one mapping electrode, as is known in the art, for monitoring the potential of the tissue in contact with the electrode configuration.

Reference is now made also to Figs. 4A-4C which schematically illustrate front-view cross-sections of distal end portion 12 along section lines A-A, B-B, and C-C, respectively, in Fig. 3. In accordance with the present invention, catheter 10 includes a distal steering mechanism which is used to deflect tip 16 of distal end portion 12, as mentioned above, by producing a small radius of curvature at region 60. Catheter 10 further includes a proximal steering mechanism which controls the curvature of region 62, between shaft 11 and 13, thereby to control the deflection of the entire distal end portion 12.

The distal and proximal steering mechanisms may include any suitable steering mechanisms known in the art, for example, the control mechanisms described in U.S. Patent 5,383,852 to Stevens-Wright, the disclosure of which is incorporated herein by reference. As shown in Figs. 3-4C, the distal and proximal control mechanisms may include control wires 55 and 64, respectively, which extend along the interior of shaft 11 from handle portion 22 to regions 60 and 62, respectively, of distal end portion 12. Wire 55 is attached to tip 16 and may extend through middle guiding loops along most of the length of shaft 13, as shown in Figs. 4B and 4C, and then through off-center guiding loops at region 60, as shown in Fig. 4A, whereby only a small segment adjacent to tip 16 is deflected by wire 55. Wire 64 may extend through off-center guiding loops in shaft 13, as shown in Fig. 4C, and is attached to end shaft 13 at region 62.

The deflection of distal end portion 12 into a desired configuration is preferably controlled by an electrophysiologist using control members 26 and/or 27 on handle portion 22. In the embodiment shown in Fig. 2, control member 26 may include a rotatable control member attached to wire 55, such that forward or backward rotation of control member 26 results in corresponding movement of wire 55, thereby controlling the deflection of end portion 12 at region 60. Control member 27 may include a slidable

control member attached to wire 64, such that forward or backward sliding of control member 27 results in corresponding movement of wire 64, thereby controlling the deflection of end portion 12 at region 62. As known in the art, the electrophysiologist may also rotate distal end portion 12 about the longitudinal axis of catheter 10. Any suitable rotation mechanism, as is known in the art, can be used to control the rotation of distal end portion 12. For example, catheter shaft can be made of a rotationally rigid material that transmits the rotation of handle portion 22 to distal end 12. Alternatively, the rotation of handle 22 may be transmitted by a rotationally stiff member (not shown) extending longitudinally through the interior of catheter shaft 11.

In an embodiment of the present invention, electrodes 14 are addressed, together or separately, via connectors 24, which are connected to electrodes 14 by conductors 66. Conductors 66 may extend along the interior of catheter shaft 11 and end shaft 13, for example, through middle guiding loops, as shown in Figs. 4A-4C.

Using connectors 24, electrodes 14 are connected to an ablation energizing circuit, which may be activated by user controls as are known in the art. Upon activation, the energizing circuit energizes electrodes 14 with radio frequency (RF) energy, as is known in the art. Using separate ablation controls, the electrophysiologist may activate electrodes 14 together or separately (if selective ablation is desired) to ablate a target tissue, as described in detail below.

As known in the art, electrodes 14 may be associated with temperature sensors (not shown in the drawings) which may be connected to temperature monitoring circuitry for monitoring the temperature of the tissue in contact with electrodes 14. An output of the temperature monitoring circuitry may be visually displayed to the electrophysiologist, as is known in the art, to provide the electrophysiologist with on-line indication of the electrode temperatures, which are indicative of adjacent tissue temperatures. If temperature sensors are used, they may be connected to the monitoring circuitry via connectors 56 and additional conductors (not shown) in catheter shaft 11.

According to the present invention, catheter 10 is used for ablating tissue on the endocardium isthmus of tissue between the inferior vena cava and the tricuspid annulus of a patient suffering from aberrant heart activity, such as atrial flutter or fibrillation, as described below.

Figs. 5-8 schematically illustrate a procedure for introducing catheter 10

into the right atrium and subsequently guiding distal end portion 12 to securely engage a portion of the endocardium tissue 108 between the inferior vena cava and the tricuspid annulus.

As shown in Fig. 5, distal end portion 12 is first guided into the right atrium of the patient's heart 100 from the inferior vena cava. Once catheter 10 is introduced into the right atrium, the electrophysiologist proceeds to deflect distal end portion 12 towards the right ventricle 104, using the proximal steering mechanism of catheter 10. Distal end portion 12 enters the right ventricle via the tricuspid valve 110, as shown in Fig. 6. If necessary, end shaft 13 may be rotated to assist in the manipulation of distal end portion 12.

After distal end portion 12 is inserted into the right ventricle, the electrophysiologist uses the distal steering mechanism to deflect tip 16 into the hook-shaped configuration described above, as shown in Fig. 7. Then, the catheter is pulled back, i.e., in the direction of inferior vena cava 112, until a portion of the tricuspid annulus 106 is grasped by the hook-shaped tip 16, as shown in Fig. 8.

Once tip 16 is anchored at the tricuspid annulus, the catheter may be pulled further back and the curvature of distal end portion 12 may be adjusted, using the proximal steering mechanism, until electrodes 14 of elongated configuration 40 securely engage a portion of the isthmus of tissue 108 between tricuspid annulus 106 and inferior vena cava 112. At this point, the electrophysiologist activates some or all of electrodes 14 to ablate a substantially continuous, substantially linear, lesion on the endocardial wall of the isthmus of tissue 108.

As described above, electrodes 14 may be associated with temperature sensors. These sensors may include thermocouples or any other temperature sensing means known in the art. Based on the temperatures measured by these optional temperature sensors, the electrophysiologist may deactivate some or all of electrodes 14 when the temperature of the ablated tissue site exceeds a predetermined threshold. Then, when the temperature of the ablated sites drops below the threshold, the electrophysiologist may reactivate electrodes 14 if further ablation is required.

As mentioned above, tip 16 may optionally include a sensor electrode for monitoring/mapping the electrical potential of tissue adjacent tip 16, e.g., to enable more accurate and/or more efficient positioning of end portion 12 against isthmus of tissue 108.

Sensor electrodes may also be included in electrode configuration 40, e.g., for mapping the electrical potential along isthmus of tissue 108, during or between ablation sessions, to determine whether further ablation may be necessary.

Reference is now made to Fig. 9 which schematically illustrates a distal end portion 212 of an ablation catheter in accordance with another embodiment of the present invention, having an elongated electrode configuration 240 including a plurality of electrodes 214 and a tip 216. In the embodiment of Fig. 9, distal end portion 212 is adapted to be steerable or deflectable at three regions, namely, a distal tip deflection region 260, an intermediate deflection region 250 and a proximal deflection region 262. Regions 260 and 262 are generally analogous to the distal and proximal deflection regions 60 and 62, respectively, of distal end portion 12, as described above with reference to Figs. 2-8. Intermediate deflection region 250 may be located at a predetermined position along electrode configuration 240. The mechanisms for deflecting end portion 212 at regions 260 and 262 may be similar to those used for deflecting end portion 12 at regions 60 and 62, respectively, as described in detail above with reference to Figs. 2-8. The mechanism for deflecting distal end portion 212 at intermediate region 250 may include any suitable deflection mechanism, for example, a control wire (not shown) extending through the hollow interior of end portion 212, analogous to control wires 55 and 64 in the embodiment of Figs. 2-8.

The curvature of end portion 212 at any or all of regions 260, 250 and 262 may be controlled by the electrophysiologist using any suitable controls (not shown), for example, handle controls similar to controls 26 and 27 in the embodiment of Figs. 2-8. Thus, in this embodiment, the electrophysiologist may control the curvature of distal end portion 212 at region 250, in addition to controlling the curvature of distal and proximal regions 260 and 262. The addition of intermediate deflection region 250 enables more flexibility in conforming the shape of distal end portion 212 to the shape of isthmus of tissue 108 during ablation treatment. In an embodiment of the present invention, intermediate deflection region 250 is adapted to be deflected in the direction indicated by arrow 270, so as to provide improved contact with isthmus of tissue 108 when end portion 212 is urged against the tissue.

In yet another embodiment of the present invention, end portion 212 is not deflectable at region 250 but, rather, end portion 212 is formed of a resilient material and



is pre-shaped to have a predetermined curvature at region 250, as shown generally in Fig. 9. In this embodiment of the invention, when end portion 212 is urged against a target tissue, such as isthmus of tissue 108, the curvature of region 250 changes until electrode configuration 240 conforms to the shape of the target tissue. This ensures urged contact between electrodes 214 and the target tissue without an additional steering mechanism.

In still another embodiment of the present invention, end portion 212 is deflectable only at distal region 260, to assume a hook-shaped configuration as described above, but is not deflectable at proximal region 262. End portion 212 may also be pre-shaped or deflectable at intermediate region 250, as described above. In this embodiment, once the tricuspid annulus is grasped by the hook-shaped tip of the catheter, it is primarily the backward pulling force applied by the electrophysiologist that brings electrodes 214 into urged contact with the target endocardial tissue.

Reference is now made to Figs. 10A and 10B which schematically illustrate part of an electrode catheter 300 in accordance with yet another embodiment of the present invention. Catheter 300 includes a tubular catheter shaft 311 and a distal end portion 312 including an end shaft 313. Distal end portion 312 includes a distal tip 316, a distal tip deflection region 360 and a proximal curvature region 362. In this embodiment, region 360 distal end portion 312 is pre-shaped to have a partly deflected configuration, as shown in Fig. 10A, with an inner-curve angle  $\alpha$ . Such pre-shaping of region 360 may be performed by pre-baking region 360 into the desired configuration, as is known in the art. As described below, distal end portion 312 may be steered into a deflected configuration, shown in Fig. 10B, wherein proximal curvature region 362 is curved to a predetermined extent and distal deflection region 360 is further deflected into a hook-shaped configuration, similar to that described above with reference to Figs. 2-8.

Distal end portion 312 has an elongated electrode configuration 340 including a plurality of electrodes 314 and a tip 316. As in the embodiment of Figs. 2-8, tip 316 may include a sensor or mapping electrode, as is known in the art, for monitoring the electric potential of tissue in contact therewith and/or an ablation electrode for ablating tissue in contact therewith. In the embodiment of Figs. 10A and 10B, distal end portion 312 is adapted to be steerable or deflectable by a single deflection mechanism at both regions 362 and 360. The mechanism for deflecting end portion 312 at regions 360 and 362 may include a control wire (not shown), similar to control wire 55 in Figs. 3 and 4A-4C, which

extends through the hollow interior of end portion 312 . The control wire is fixedly attached to tip 316 and may extend through off-center guiding loops, as are known in the art, along the entire length of shaft 313. Thus, in contrast to the embodiments described above with reference to Figs. 2-8, the curvature of the entire length of distal end portion 312, including regions 362 and 360, is affected upon activation of the deflection mechanism, thereby producing the deflected configuration shown in Fig. 10B. In an embodiment of the present invention, shaft 313 is made from a material which is more flexible than the material used for shaft 311. The transition between the materials of shafts 311 and 313 is indicated by numeral 315. The material for shaft 313 may include Polyether Block Amide, having a Shore D hardness of 40-55, available from Atochem, Inc., U.S.A., under the trade name of Pebax. It should be appreciated, however, that wide range of materials and hardnesses of shaft 313 may be suitable for the present invention, depending on specific design requirements. The material used for shaft 311 should be at least slightly harder than that of shaft 313, and preferably has a Shore D hardness at least 5 higher than that of shaft 313.

It should be appreciated that, in the embodiment of Figs. 10A and 10B, when the control wire is pulled backwards to deflect end portion 312, region 362 becomes curved and region 360 is fully deflected into the desired hook-shaped configuration, as shown in Fig. 10B. Thus, the deflection of both regions 362 and 360 is performed in a single action, obviating the need to use two separate deflection mechanisms, as in some of the above described embodiments. This simplifies the deflection procedure to be executed by the electrophysiologist.

Catheter 300 may be used for mapping and/or ablating the isthmus of tissue between the inferior vena cava and the tricuspid annulus, as follows. In analogy to the procedure described above with reference to Figs. 5-8, distal end portion 312 is first guided into the right atrium of the patient's heart from the inferior vena cava. Once end portion 312 is introduced into the right atrium, the electrophysiologist proceeds to steer distal end portion 312 towards the right ventricle, using the single steering mechanism described above. Distal end portion 312 enters the right ventricle via the tricuspid valve, in analogy to the description above with reference to Fig. 6. If necessary, end shaft 313 may be rotated by the electrophysiologist to assist the manipulation of distal end portion 312.

Since distal end portion 312 is inserted into the right ventricle with a partly

deflected distal deflection region 360, there is no need to further deflect the distal end portion before anchoring tip 316 at the tricuspid annulus. The electrophysiologist then simply pulls back the catheter, in the direction of the inferior vena cava, until a portion of the tricuspid annulus is grasped by the partly deflected tip 16, in analogy to the description above with reference to Fig. 8.

It has been found by the present inventors that when distal deflection region 360 is pre-shaped to be partly deflected by an inner-curve angle,  $\alpha$ , of between about 20 degrees and about 100 degrees, for example, 40-60 degrees, regions 360 and 362 assume a final configuration (upon deflection), as shown in Fig. 10B, which is suitable for mapping and/or ablating tissue in the vicinity of the tricuspid annulus as described above. This finding is empirical and may depend on various parameters and specific applications design requirements of catheter 300. For example, the choice of angle  $\alpha$  may depend on the material used to form shaft 313, the distance between transition point 315 and the proximal end of electrode configuration 340 (indicated by numeral 356), the distance between the center of region 360 (indicated by numeral 355) and distal tip 316, and/or the length of electrode configuration 340. For example, an angle of 40-60 degrees has been found suitable for a distal end portion 312 made from the Pebax material described above, wherein the distance between transition 315 and proximal electrode 356 is approximately 3.5 cm, the distance between center 355 and tip 316 is approximately 1.8 cm, and the length of electrode configuration 340 is approximately 2.8 cm.

Once tip 316 is anchored at the tricuspid annulus, the catheter may be pulled further back and the deflection mechanism described above may be used to further curve region 362 and to fully deflect region 360 into the hook-shaped configuration shown in Fig. 10B, until electrodes 314 of elongated configuration 340 securely engage a portion of the isthmus of tissue between tricuspid annulus and inferior vena cava. At this point, the electrophysiologist may activate some or all of electrodes 314 to ablate a substantially continuous, substantially linear, lesion on the endocardial wall, as described above.

It should be understood that electrode catheter configurations as described above may also be used for mapping and/or ablation of other intracardiac sites where anchoring onto an edge of an orifice may be helpful in correctly and securely positioning an electrode catheter. For example, a configuration as described above may be useful for mapping and, possibly, ablating tissue in the vicinity of the coronary sinus, by

maneuvering the distal end of the catheter into the coronary sinus and subsequently pulling the catheter back until the distal tip of the catheter is anchored at an edge of the coronary sinus orifice.

In yet another embodiment of the present invention, the elongated configuration of electrodes described thus far may be replaced by (or used in addition to) at least one displaceable electrode, as described below. A medical device incorporating such a displaceable electrode is described in co-pending U.S. Patent Application No. 09/203,922, entitled "Internal Mechanism for Displacing a Slidable Electrode", filed December 2, 1998, assigned to the assignee of the present application, the entire disclosure of which is incorporated herein by reference.

Referring now to Figs. 11-15, and particularly to Fig. 11, there is shown a medical device 410 carrying a displaceable electrode 412 which may be used in some embodiment of the present invention, instead of or in addition to the elongated configuration of electrodes described above with reference to Figs. 1-10. Displaceable electrode 412 is slidably mounted over an elongated catheter shaft 414 of the device 410 and which is selectively movable relative to the catheter shaft in either a distal or proximal direction along the catheter shaft 414. An electrode displacement mechanism, generally designated 416, is connected to the displaceable electrode 412 and is operative to displace the electrode relative to the catheter shaft 414 and thus the medical device 410 as well. Thus, for example, in an ablation procedure, the device 410 may be manipulated inside a patient's body until the electrode 412 is disposed in a desired position, e.g., in contact with part of an intracardiac target tissue as described above. Ablation energy may then be delivered to the electrode to destroy the adjacent tissue as is known in the art. The electrophysiologist may then manipulate the electrode displacement mechanism 416 to move the electrode 412 relative to the shaft 414 a desired distance, and ablation energy may again be delivered to the electrode to ablate the adjacent tissue. The procedure may be repeated one or more times to create a continuous, substantially linear lesion on the target tissue.

Referring to Fig. 12, the medical device 410 in accordance with one illustrative embodiment of the invention is in the form of a catheter, for example, an ablation catheter, mapping catheter, or other diagnostic catheter. It will be apparent that the medical device 410 of the present invention can take many different forms, such as any medical device having an insertion member to be inserted into a patient's body. In the

illustrative embodiment, the catheter includes the catheter shaft 414, which can be manipulated internally through a patient's body to a site of interest within the patient's body. The catheter shaft defines at least one interior lumen 422 (Fig. 13) which is sized to slidably receive a portion of the electrode displacement mechanism 416 therein. In a preferred embodiment, the catheter shaft defines a plurality of interior lumens 422 for passing various components through the respective lumens, as is described in greater detail below.

In one embodiment, the catheter includes a control handle 424 for manipulating the electrode displacement mechanism 416 (Fig. 11). The catheter handle may take many different forms. One suitable form of control handle is shown in Fig. 11 and is disclosed in greater detail in U.S. Patent Number 5,462,527 to Stevens-Wright, the disclosure of which is hereby expressly incorporated by reference as if fully set forth herein. Briefly, the control handle includes a slide actuator 426 which travels longitudinally along the control handle in a longitudinal slot (not shown) formed in the handle. Each end of the slot defines a stop limiting the extent of travel of the slide actuator. The slide actuator is connected to the electrode displacement mechanism 416 and therefore movement of the slide actuator translates into movement of the electrode displacement mechanism and thus the electrode 412, as is described in greater detail below. Another suitable form of control handle is disclosed in U.S. Patent Number 5,611,777 to Bowden et al., an illustrative embodiment of which is shown in Fig. 15 and which is expressly incorporated herein by reference.

The control handle 424 is connected to a plurality of connectors 423, which connect to suitable power supplies (not shown) to provide ablation energy to the slidable electrode 412, and to diagnostic equipment (not shown) to transmit sensing signals generated by the catheter electrodes, as is well known in the art and described in greater detail below.

The medical device 410 of the present invention is also preferably a steerable catheter, and thus the control handle also preferably includes a rotatable thumb wheel 425 rotatably mounted in the control handle 424, which can be rotated by a user to deflect the distal end portion of the catheter, for example, as described above with reference to Figs. 1-10. Thus, the thumb wheel may be engaged to one or more pull wires

429 (Fig. 14) which extend through one or more of the lumens 422 in the catheter shaft 414 and are connected to the distal end portion of the catheter at an off-axis location, whereby tension applied to one or more of the pull wires causes the distal portion of the catheter to curve in a predetermined direction or directions. The thumb wheel may be knurled along its periphery or formed with upstanding ribs 435 to facilitate manipulation of the thumb wheel by a user's fingers.

In one illustrative embodiment of the invention, the displacement mechanism 416 may include a relatively stiff displacing member 430 in the form of a mandrel which includes a first, proximal end securely connected to the slide actuator 426 inside the control handle 424. The mandrel may be in the form of a shaft, stiff wire, hypotube, or the like, and may extend distally from the slide actuator through the handle 424, through one of the lumens 422, and then extends laterally with respect to the catheter shaft and into engagement with the inside surface of the slidable electrode 412.

The catheter shaft 414 preferably includes a longitudinal slot 432 formed at a predetermined location on the catheter shaft. The slot preferably extends into one of the lumens 422 to create an opening from the lumen to the outer surface of the catheter shaft 414. A portion of the mandrel 430 extends through the slot 432 for engagement with the inside surface of the slidable electrode 412 (Fig. 13). The slot may be formed with different dimensions to permit the electrode 412 to travel different distances along the catheter shaft 414. Preferably, the slot is between about one and about eight centimeters in length, but may, of course, be of any suitable length, subject to the dimensions of the control handle 424. The slot design may also serve to limit blood ingress into the lumen 422 which receives the mandrel 430. Specifically, as shown in Fig. 14, the slot 432 may be formed having a generally V-shaped cross-section to minimize the opening between the slot and lumen.

In one embodiment, the mandrel 430 includes an elongated, proximal segment 434 located inside the control handle 424, a tapered, cylindrical distal segment 436 extending through the catheter shaft 414, a transitioning segment 438 which extends distally and laterally outwardly through the catheter shaft 414, and a contact segment 440 which is sized for slidable receipt within the slot 432 and which may be connected to the inside surface of the displaceable electrode 412. The angled segment 438 extends into the

longitudinal slot 432, and the contact segment 440 travels longitudinally within the slot.

The distal segment 436 is preferably formed with a smaller cross-sectional diameter than the proximal segment 434 to maintain tip flexibility, while acting as a positive means for stopping overextended electrode movement.

5           The mandrel 430 may be formed of electrically conductive material, such that it serves not only to displace the movable electrode 412, but may also deliver electrical power to or from the electrode, in the case of either an ablation electrode or a sensing electrode. Alternatively, the mandrel may include an interior passageway through which one or more conductors extend to the electrode 412. In either case, the mandrel is  
10           preferably surrounded within a protective sheath which is treated with a hemo-compatible coating.

          The mandrel 430 may be formed having a relatively high column strength to selectively displace the electrode 412 distally and proximally. Thus, when the mandrel is compressed by movement of the actuator 426 in a distal direction, the mandrel will  
15           resist bowing and will reliably advance the electrode 412 along the catheter shaft 414. In addition, in order to resist bowing, it is preferred to provide a lumen 422 sized to receive the mandrel 430 in a relatively tight manner while still allowing relative movement there between, such that the lumen walls assist in preventing the mandrel from bowing to any significant extent.

20           The slidable electrode 412 may be a conventional ring electrode having a suitably sized interior opening for slidable extension over the catheter shaft 414. In one embodiment, the catheter shaft may include a necked down segment in registration with the longitudinal slot 432. The electrode may be formed having a predetermined outer diameter so that it is flush with the outer diameter of the enlarged portion of the catheter  
25           shaft 414. Alternatively, the electrode 412 may be formed with an outer diameter larger than the outer diameter of the catheter shaft 414 so that it projects laterally outwardly from the catheter shaft 414 to provide a high-profiled electrode which facilitates tissue contact. In such an embodiment, the electrode 412 has a thickness sufficient to cause the outer contact surface thereof to project outwardly from the catheter shaft 414. As a result, the  
30           contact surface of the electrode generally contacts the patient's tissue before the catheter shaft 414 comes into contact with the target tissue, even at locations where the tissue has an irregular surface.

While the slidable electrode 412 described herein is a ring electrode, it will be apparent that the electrode may take many different forms. For example, the electrode may be in the form of a strip electrode connected to the mandrel 430 and aligned with the slot 432. As used herein, "ring electrode" is defined as an electrode with a cylindrical inner surface for slidable extension over a tubular shaft such as catheter shaft 414. The outer surface of the ring electrode can take on any suitable configuration, depending on the particular use of the electrode.

In some embodiments, the medical device 410 may include a tip electrode 450 at the catheter distal tip, which may be of conventional design, and one or more additional electrodes 452 at spaced apart locations along the catheter shaft. The electrode(s) may be used for monopolar ablation, bipolar ablation with the slidable electrode 412, mapping, and other functions well known to those skilled in the art. Typically, the electrodes 452 may be used for sensing, in either a monopolar or bipolar fashion, while the tip electrode 450 may be used for making follow-up burns to fill in any gaps after the slidable electrode 412 has been used to create a linear lesion. However, other uses for the various electrodes are possible, as is well known to those skilled in the art. Each of the additional electrodes is mounted on the catheter shaft 414, and connected to a respective conductive wire 454 extending through one of the lumens 422 of the catheter. Also, each of the electrodes which is intended for use as an ablation electrode may be connected to a temperature sensor (not shown), which allows the clinician to monitor the temperature of the electrodes to avoid subjecting the tissue to excessive temperatures to avoid charring and coagulum. The temperature sensors can be thermocouples, thermistors, resistive thermal devices ("RTD"), or the like. Each temperature sensor may have an associated conductive lead (not shown) which extends through one of the lumens 422 to a signal processor (not shown) for processing the electrical signals generated by the respective temperature sensors.

By locating the mandrel 430 inside the medical device 410, a number of benefits are realized. Firstly, the mandrel is kept out of contact with the patient's tissue. Thus, when the slidable electrode 412 is displaced relative to the patient's tissue, the mandrel does not rub against the patient's tissue and thus cannot get caught on that tissue. In addition, a stiff mandrel may be used, without increasing the diameter of the overall device 410.



In operation, a target tissue may be determined by positioning the distal portion of the medical device 410 in the heart and sensing the electrical signals using one or more of the electrodes 412, 450, and 452, with the signals being transmitted to an appropriate diagnostic device via the connectors 423, or by using a different catheter with diagnostic capabilities. Once the site is located, one or more of the electrodes are moved to the proper location(s) and a power supply (not shown) is connected to one of the connectors 423 to energize one or more of the electrodes 412, 450, and 452 in either a constant voltage, power, or temperature mode as is well known to those skilled in the art. The electrodes can be energized simultaneously, sequentially, or in accordance with some other pattern. For example, the slidable electrode 412 can be energized and displaced relative to the shaft 414 to create a linear lesion, with the tip electrode 450 then being energized to perform any necessary follow-up burning as is well known in the art. Radio-frequency energy, typically in the range of about 250 KHz to 500 KHz, is delivered to the electrodes 412, 450, and 452 to ablate the patient's tissue. Energy flows from the respective electrodes 412, 450, and 452, through the tissue, to either one of the other electrodes (in a bipolar mode) or to a return plate (not shown), which is connected to the ground potential of the power supply, to complete the circuit. The flow of current through the circuit to the tissue causes heating which results in the destruction of the tissue near the electrodes 412, 450, and 452. If performed successfully, permanent interruption of the arrhythmia occurs and the patient is cured.

Often, in order to disrupt an arrhythmia, a long, continuous lesion must be formed. The medical device 410 of the present invention is designed to facilitate creating continuous lesions. A clinician may simply manipulate the medical device 410 until the displaceable electrode 412 comes into contact with the patient's tissue and is located at one end of the arrhythmia. Ablation energy, for example, RF energy, is then delivered to the electrode 412, and the electrode is left in place for an amount of time sufficient to ablate the adjacent tissue. The clinician then manipulates the electrode displacement mechanism 416 so that the electrode travel a selected distance. In one embodiment, this is achieved by sliding the slide actuator 426 relative to the control handle 424. Once in the new location, ablation energy is again delivered to the electrode so that it ablates the adjacent tissue. This procedure is repeated one or more times to create the continuous lesion, without requiring the clinician to move the catheter shaft 414 or the entire medical

device 410. Subsequently, the tip electrode 450 may be used for follow-up burning as described above.

From the foregoing, it will be apparent to those skilled in the art that the present invention provides a medical device which facilitates the creation of continuous lesions, without requiring an elongated electrode that hinders the flexibility of the medical device. In addition, the medical device of the present invention provides an easily actuated mechanism for displacing an electrode to facilitate creating continuous lesions.

It will be appreciated by persons skilled in the art that the present invention may be carried out using any of the above described configurations of electrodes and/or deflection regions and/or pre-shaped regions, as well as any other suitable configuration of electrodes and/or deflectable/pre-shaped regions.

It should be appreciated that the present invention is not limited to the specific embodiments described herein with reference to the accompanying drawing. Rather, the scope of the present invention is limited only by the claims.

## CLAIMS

1           1.     A catheter for mapping and/or ablating intracardiac tissue comprising:  
2                 a body portion; and  
3                 a distal end portion having a distal tip and accommodating at least one  
4     electrode adapted to map and/or ablate said tissue; and  
5                 a steering mechanism which controls the curvature of a region of said distal  
6     end portion near said distal tip,  
7                 wherein said steering mechanism is adapted to deflect said distal tip from a  
8     first predetermined configuration into a second, hook-shaped, configuration.

1           2.     A catheter according to claim 1 wherein said region near the distal tip of the  
2     distal end portion is pre-shaped to assume said first configuration.

1           3.     A catheter according to claim 2 wherein said first configuration is a partly  
2     deflected configuration.

1           4.     A catheter according to claim 3 wherein said region near the distal tip of the  
2     distal end portion, in said partly deflected configuration, has an inner-curve angle of  
3     between about 20 degrees and about 100 degrees.

1           5.     A catheter according to claim 1 wherein said tissue comprises an isthmus of  
2     tissue between the tricuspid annulus and the inferior vena.

1           6.     A catheter according to claim 1 wherein said at least one electrode  
2     comprises an elongated configuration of electrodes.

1           7.     A catheter according to claim 1 wherein said at least one electrode  
2     comprises at least one displaceable electrode.

1           8.     A catheter for ablating intracardiac tissue comprising:  
2                 a body portion; and  
3                 a distal end portion having a distal tip and accommodating at least one  
4     ablation electrode adapted to produce a substantially continuous, elongated, lesion in said  
5     tissue when energized with radio frequency (RF) energy; and  
6                 a distal steering mechanism which controls the curvature of a region of said  
7     distal end portion near said distal tip,  
8                 wherein said distal steering mechanism is adapted to deflect said distal tip  
9     from a first, generally straight, configuration into a second, hook-shaped, configuration.

1           9.     A catheter according to claim 8 wherein said tissue comprises an isthmus of  
2     tissue between the tricuspid annulus and the inferior vena.

1           10.    A catheter according to claim 8 and further comprising a proximal steering  
2     mechanism which controls the curvature of a proximal region of said distal end portion,  
3     wherein said proximal steering mechanism is adapted to deflect substantially the entire  
4     length of said distal end portion.

1           11.    A catheter according to claim 8 wherein the distal end portion includes a  
2     pre-shaped, curved region along a vicinity of said at least one electrode.

1           12.    A catheter according to claim 10 and further comprising an intermediate  
2     steering mechanism which controls the curvature of a region of said distal end portion  
3     along a vicinity of said at least one electrode.

1           13.    A catheter according to claim 10 wherein the distal end portion includes a  
2     pre-shaped, curved region along a vicinity of said at least one electrode.

1           14.    A catheter according to claim 13 and further comprising an intermediate  
2     steering mechanism which controls the curvature of a region of said distal end portion  
3     along a vicinity of said at least one electrode.

1           15.    A catheter according to claim 8 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

1           16.    A catheter according to claim 8 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

1           17.    A method of treating cardiac arrhythmia, comprising:  
2                   guiding a distal end portion of a catheter, the distal end portion having a  
3 distal tip and accommodating at least one ablation electrode, from the inferior vena cava  
4 into the right atrium of a human heart;  
5                   guiding said distal end portion from the right atrium into the right ventricle  
6 of said heart;  
7                   deflecting said distal tip into a hook-shaped configuration;  
8                   pulling said catheter towards the inferior vena cava until said hook-shaped  
9 configuration engages the tricuspid annulus of said heart and said at least one electrode  
10 engages the isthmus of tissue between the tricuspid annulus and the inferior vena cava of  
11 said heart; and  
12                   activating said at least one electrode to produce a substantially continuous  
13 lesion on said isthmus of tissue.

1           18.    A method according to claim 17 wherein guiding said distal end portion  
2 from the right atrium into the right ventricle includes deflecting said catheter at a proximal  
3 region of said distal end portion.

1           19.    A method according to claim 17 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

1           20.    A method according to claim 17 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

1           21.    A method of treating cardiac arrhythmia, comprising:  
2                   guiding a distal end portion of a catheter, the distal end portion having a

3       distal tip and accommodating at least one ablation electrode, from the inferior vena cava  
4       into the right atrium of a human heart;

5               guiding said distal end portion from the right atrium into the right ventricle  
6       of said heart;

7               pulling said catheter towards the inferior vena cava until said distal tip  
8       engages the tricuspid annulus of said heart and said at least one electrode engages the  
9       isthmus of tissue between the tricuspid annulus and the inferior vena cava of said heart;

10              deflecting said distal tip into a hook-shaped configuration; and  
11              activating said at least one electrode to produce a substantially continuous  
12       lesion on said isthmus of tissue.

1              22.     A method according to claim 21 wherein guiding said distal end portion  
2       from the right atrium into the right ventricle includes deflecting said catheter at a proximal  
3       region of said distal end portion.

1              23.     A method according to claim 21 wherein said at least one electrode  
2       comprises an elongated configuration of electrodes.

1              24.     A method according to claim 21 wherein said at least one electrode  
2       comprises at least one displaceable electrode.

1              25.     A method of treating cardiac arrhythmia and/or mapping intracardiac tissue,  
2       comprising:

3               guiding a distal end portion of a catheter, the distal end portion having a  
4       distal tip and accommodating at least one electrode, into an intracardiac region;

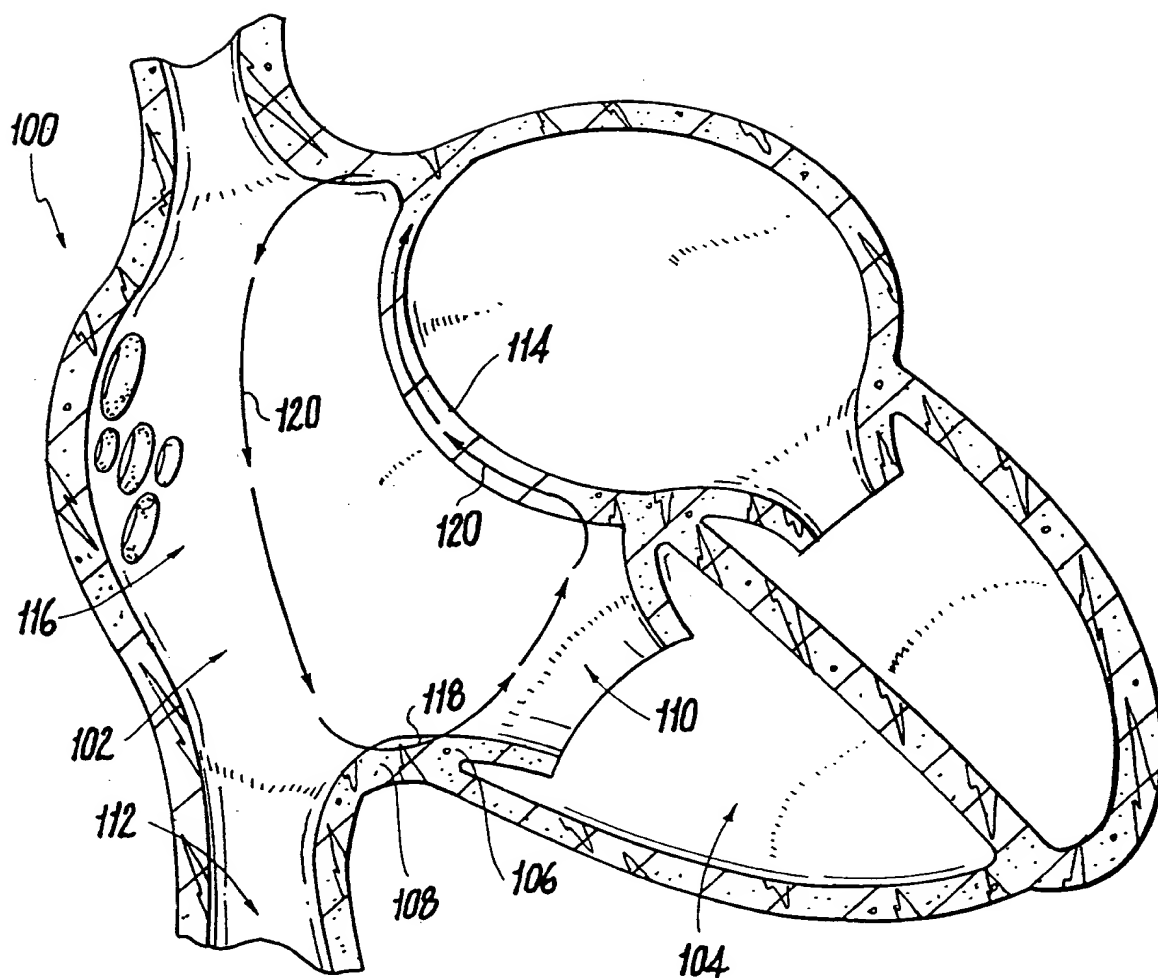
5               pulling said catheter backwards until said distal tip engages an edge of an  
6       intracardiac orifice and said at least one electrode engages a target tissue in the vicinity of  
7       said intracardiac orifice;

8               deflecting said distal tip into a hook-shaped configuration; and  
9               mapping and/or ablating a portion of said target tissue using said at least  
10       one electrode.

1           26.    A method according to claim 25 wherein guiding said distal end portion  
2 comprises deflecting said catheter at a proximal region of said distal end portion.

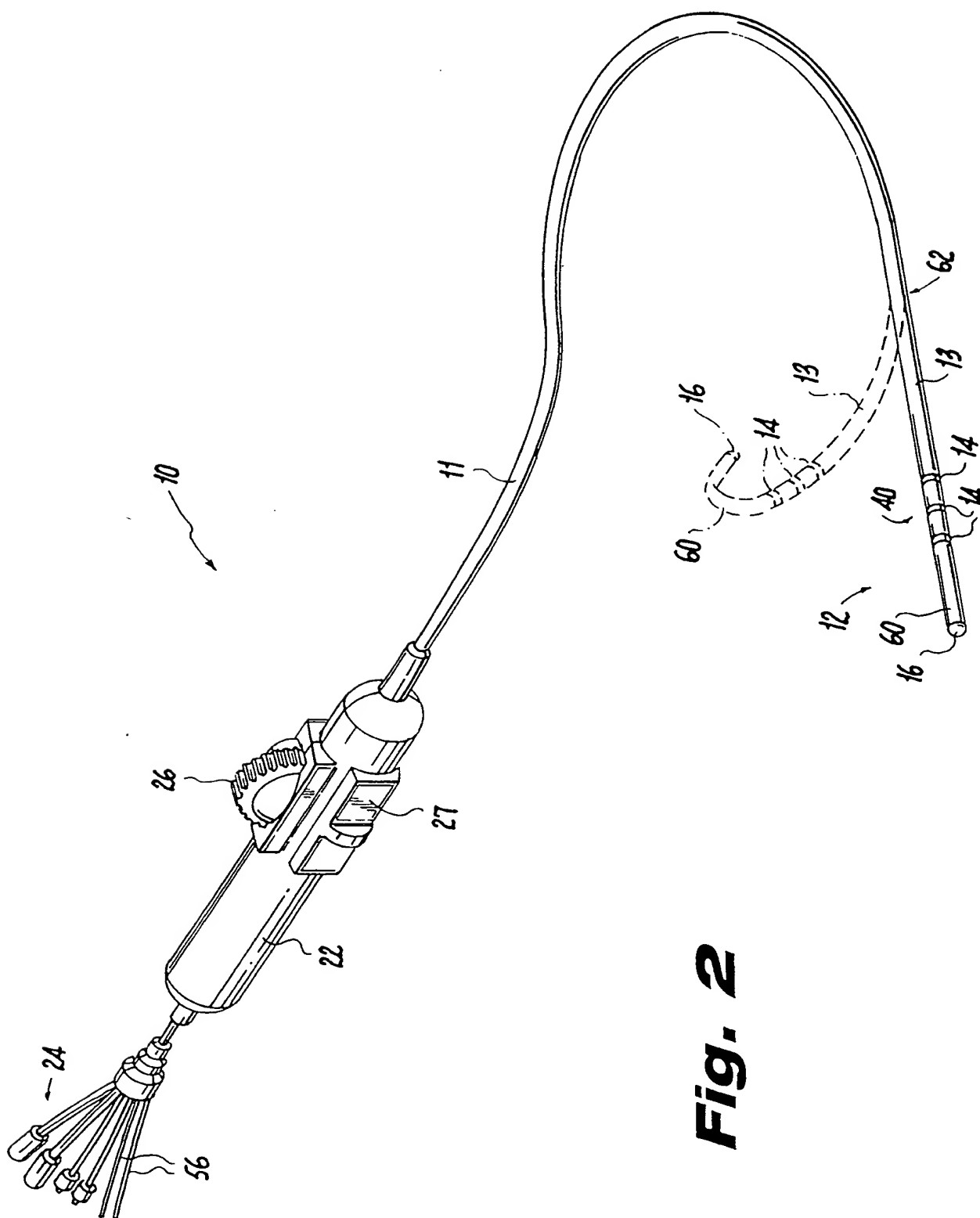
1           27.    A method according to claim 25 wherein said at least one electrode  
2 comprises an elongated configuration of electrodes.

1           28.    A method according to claim 25 wherein said at least one electrode  
2 comprises at least one displaceable electrode.

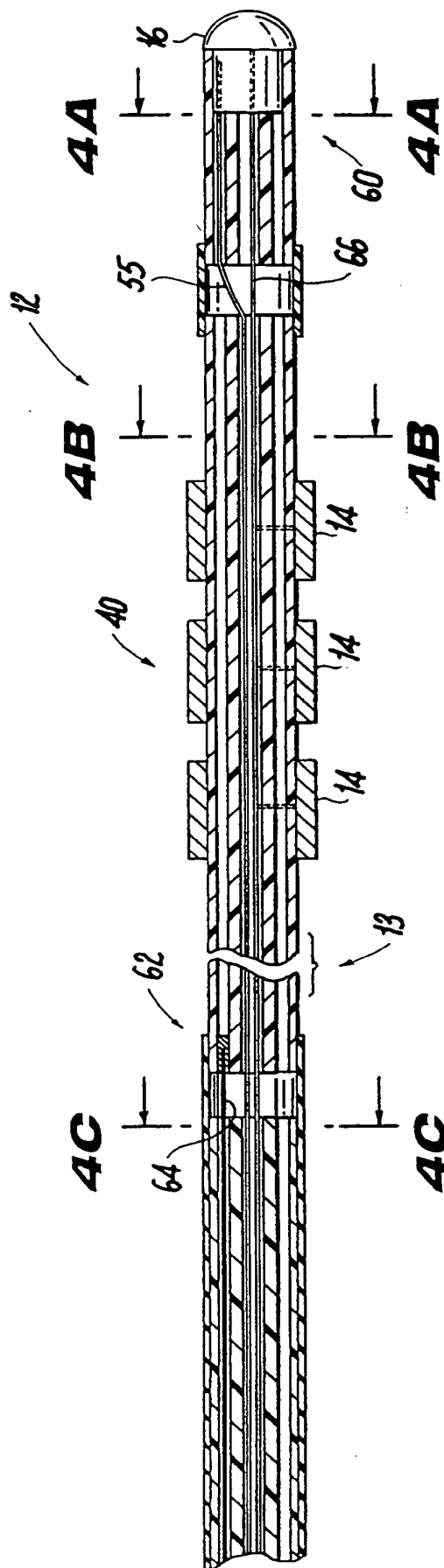


**Fig. 1**

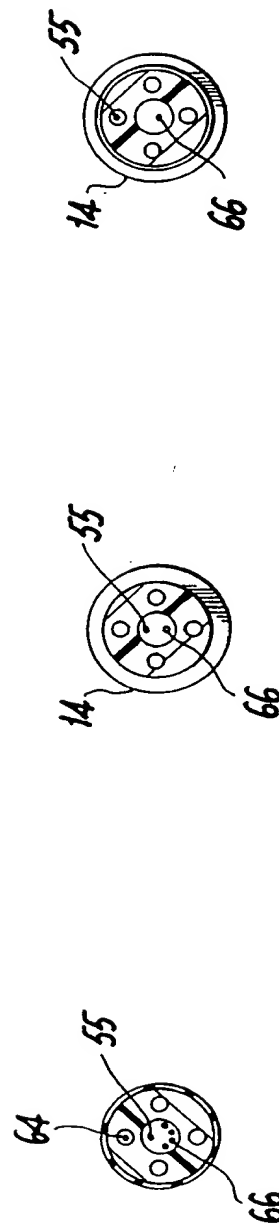




**Fig. 2**



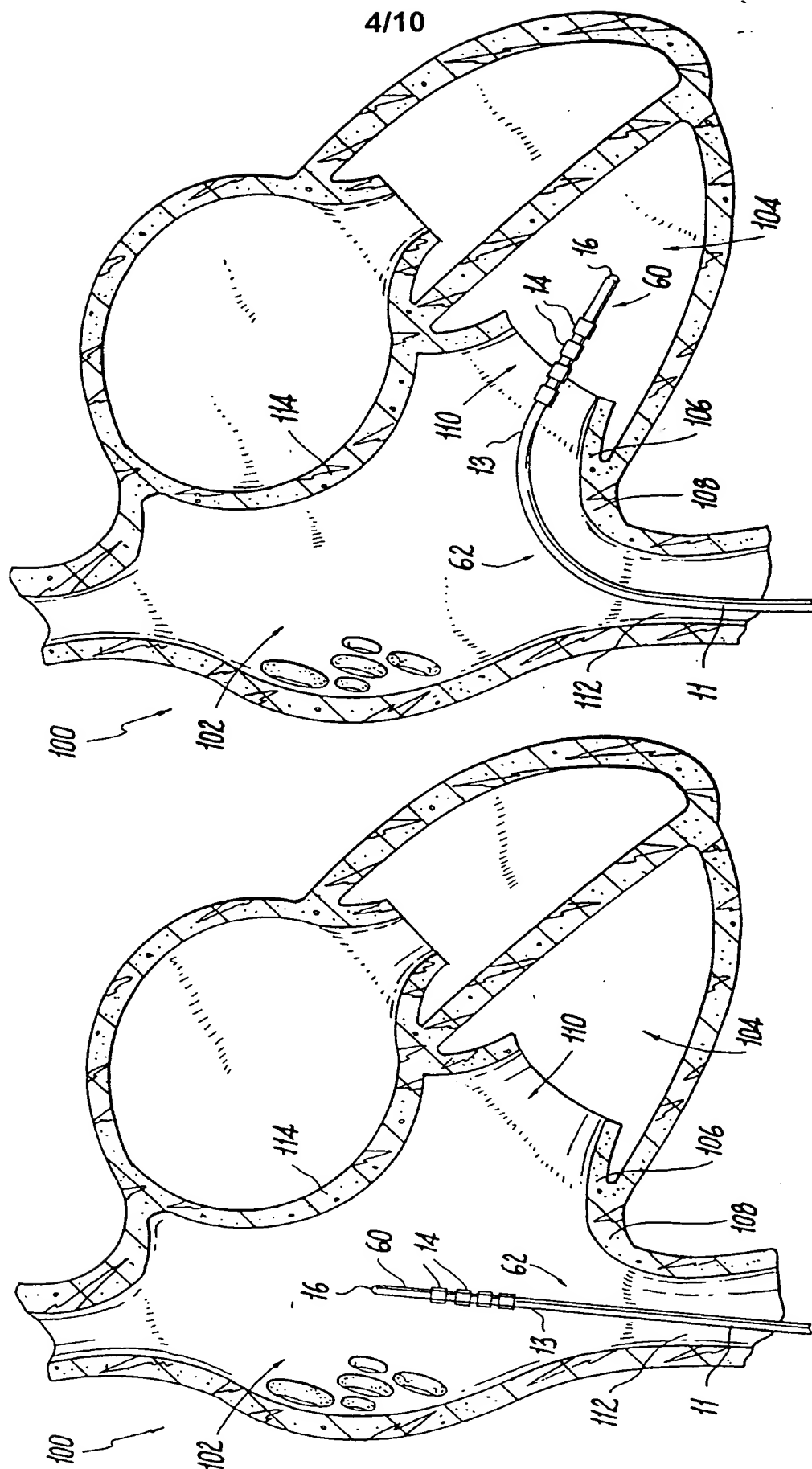
**Fig. 3**



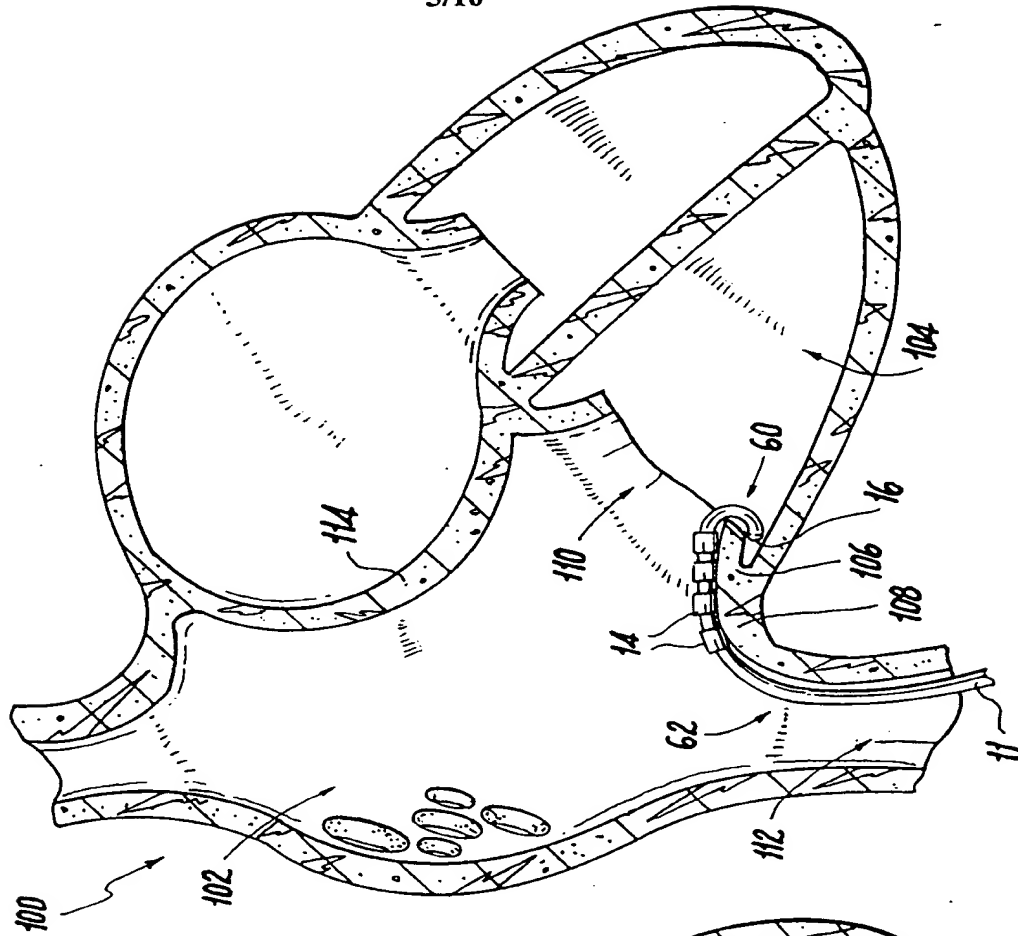
**Fig. 4A**

**Fig. 4B**

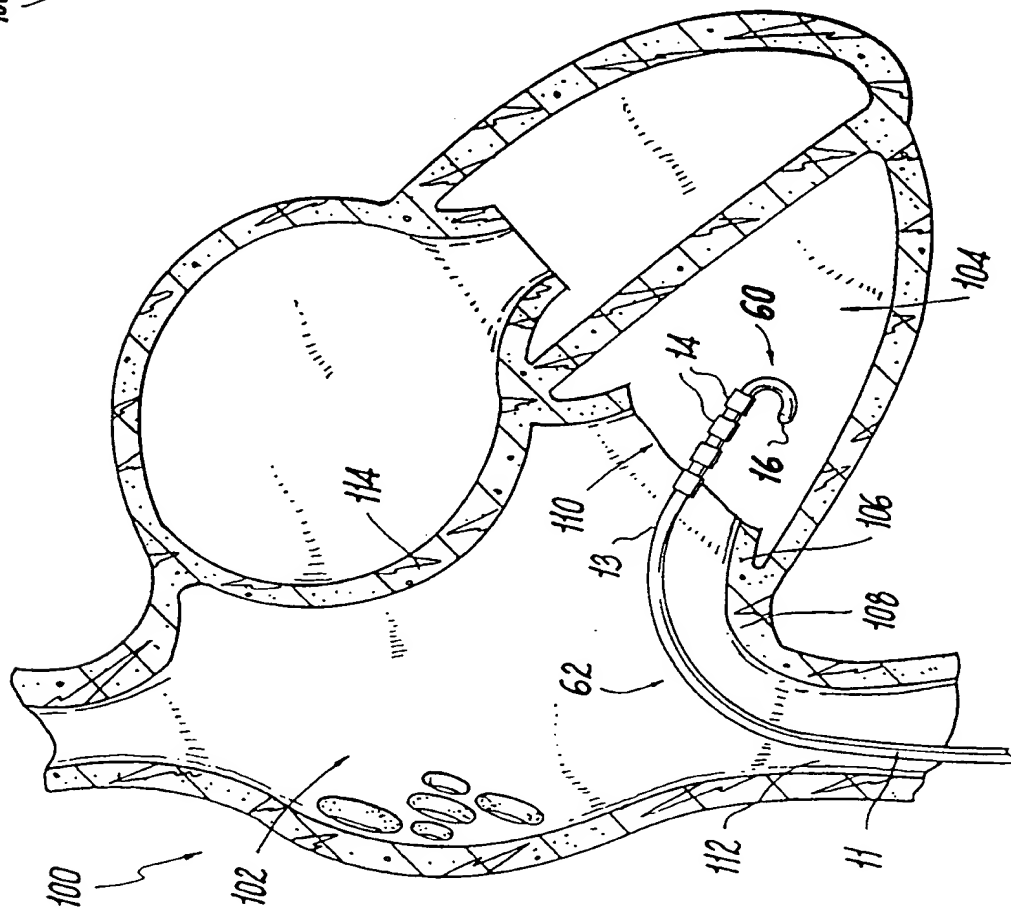
**Fig. 4C**



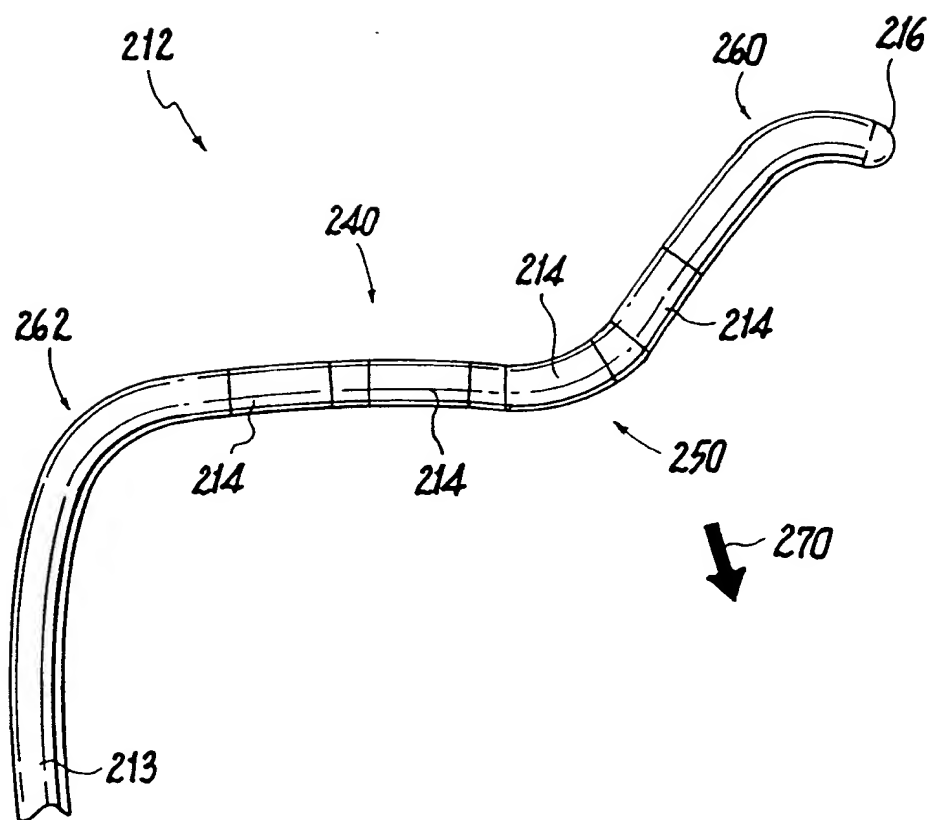
5/10



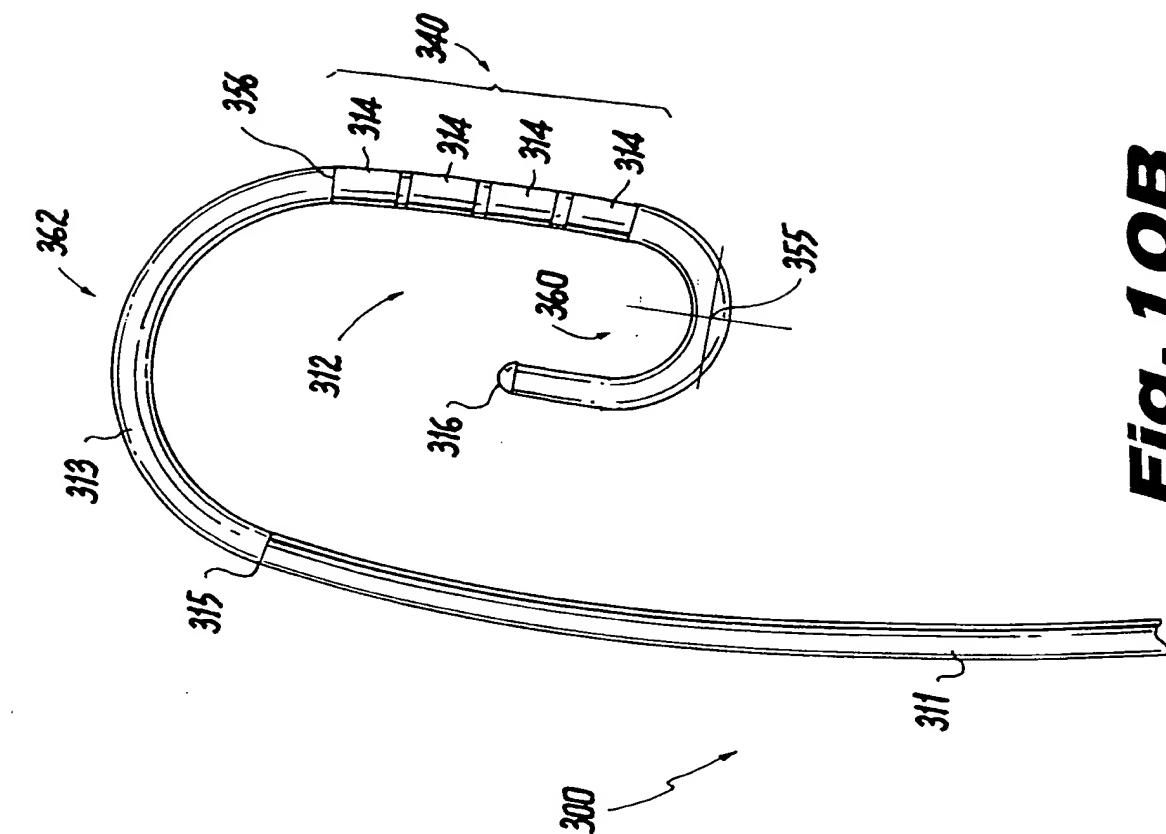
**Fig. 8**



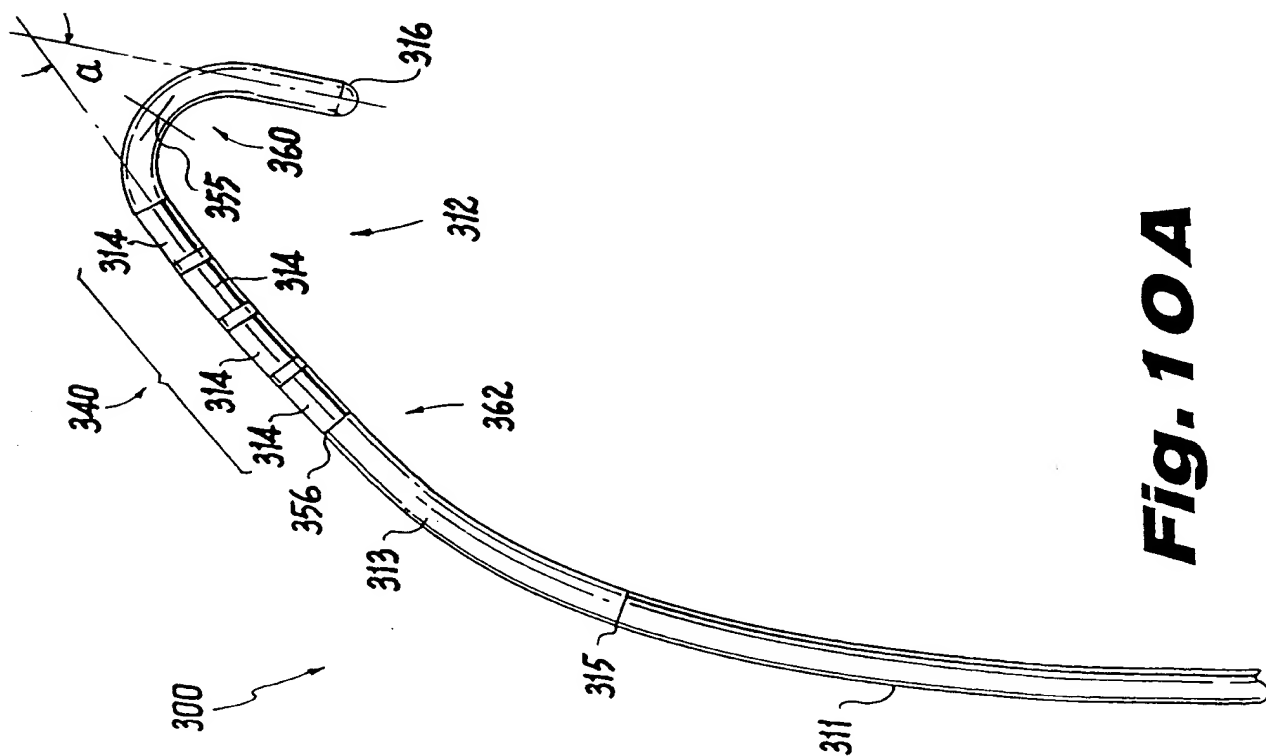
**Fig. 7**

**Fig. 9**

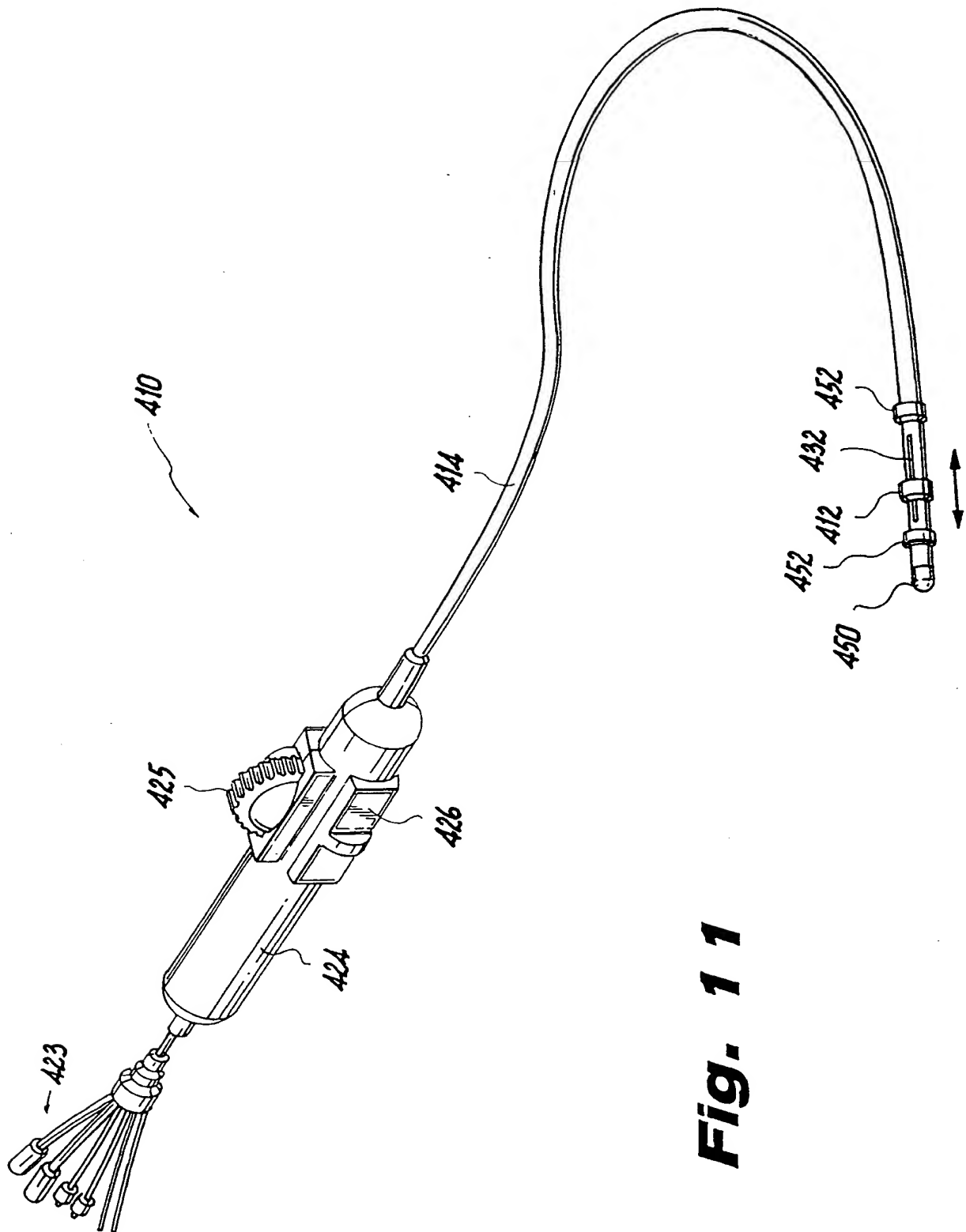
7/10



**Fig. 10A**



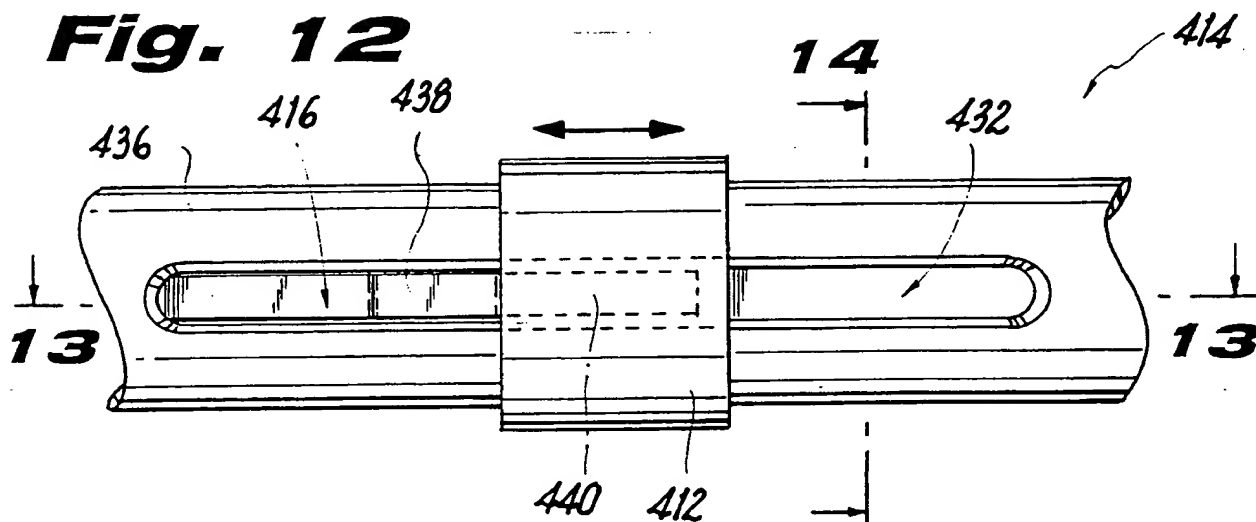
**Fig. 10B**



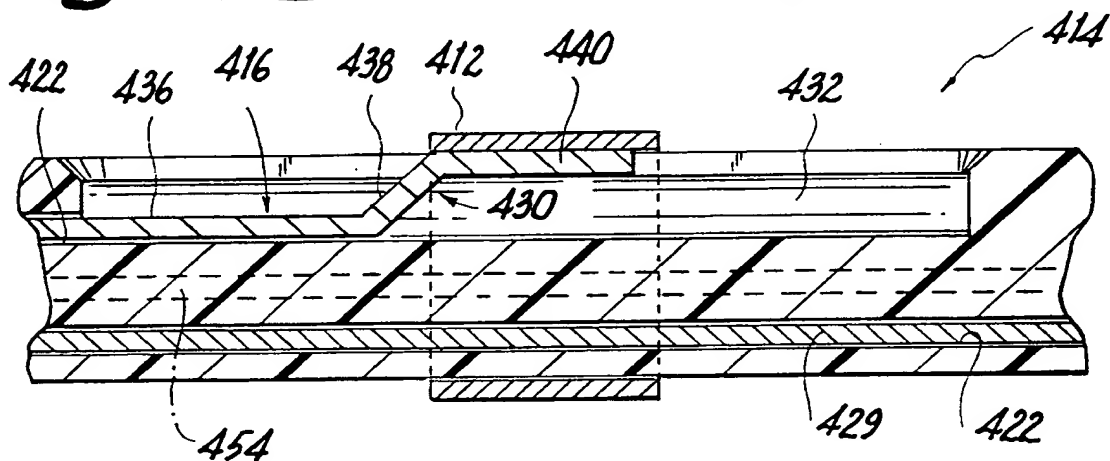
**Fig. 11**

9/10

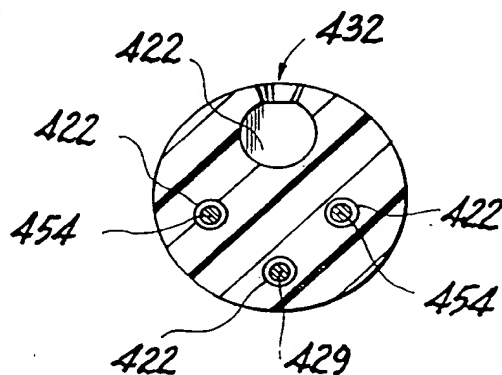
**Fig. 12**



**Fig. 13**

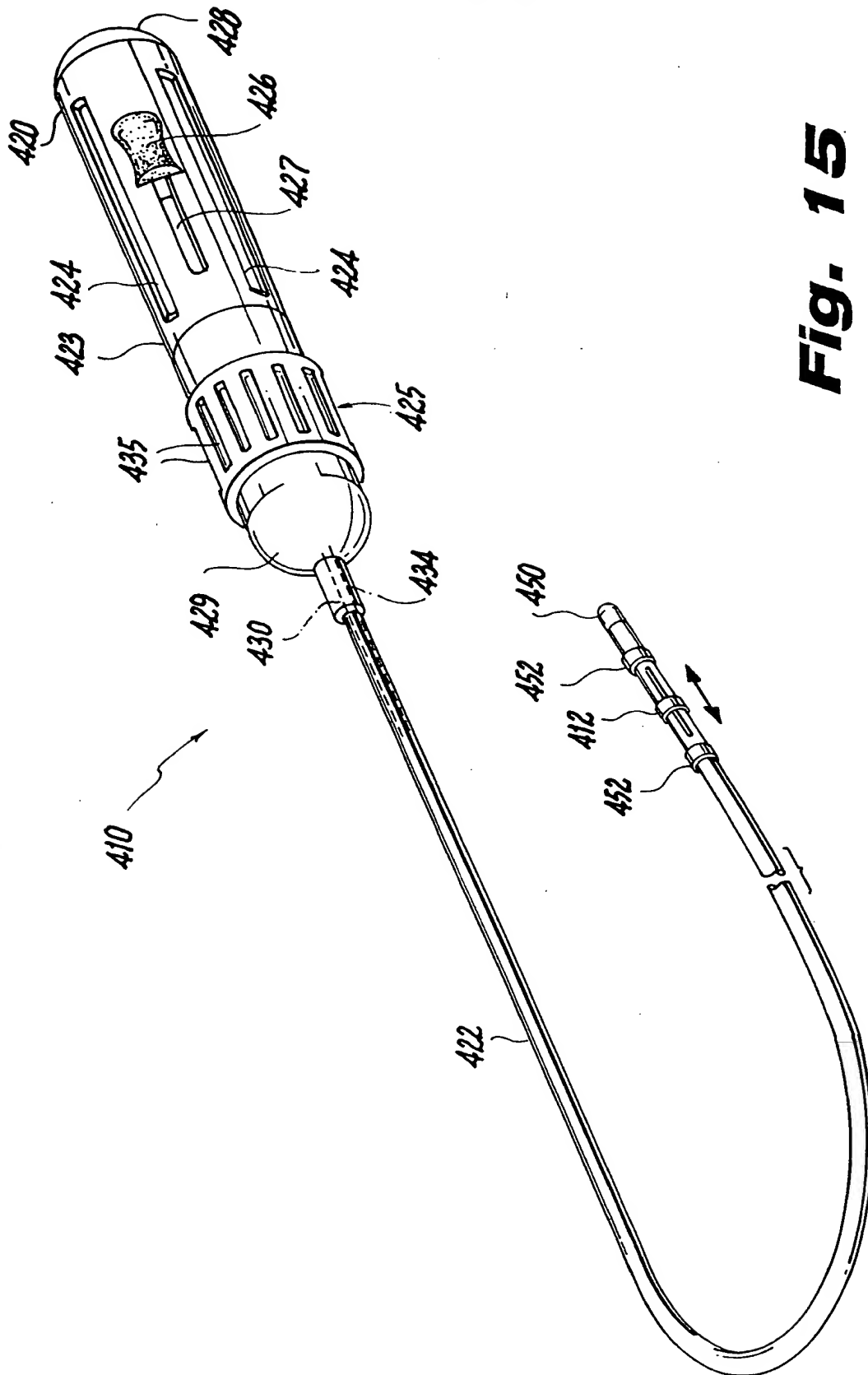


**Fig. 14**





10/10



**Fig. 15**

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 99/28233

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B18/14

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 642 736 A (AVITALL BOAZ) 1 July 1997 (1997-07-01) column 3, line 49-65 column 4, line 13-21 see in general the whole document.	1-4,6
Y		7,13,15
Y	WO 97 42893 A (MORGAN JOHN MARK ;CUNNINGHAM ANDREW DAVID (GB)) 20 November 1997 (1997-11-20) abstract; figures 1,3	7,16
A	US 5 673 695 A (MCGEE DAVID L ET AL) 7 October 1997 (1997-10-07) column 7, line 62 -column 8, line 2; figures 5,14	1,8

-/-

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

13 April 2000

Date of mailing of the international search report

26/04/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Papone, F

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 99/28233

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 10318 A (EP TECHNOLOGIES) 20 April 1995 (1995-04-20) abstract; figures 1-3	8
Y	—	9-16
Y	US 5 545 200 A (NGUYEN FRANK ET AL) 13 August 1996 (1996-08-13) column 10, line 1-9	9
Y	US 5 462 527 A (STEVENS-WRIGHT DEBBIE ET AL) 31 October 1995 (1995-10-31) cited in the application abstract; figure 2	10-12, 14

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 28233

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 17-25  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

information on patent family members

Inter. Appl. Application No.

PCT/US 99/28233

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5642736	A	01-07-1997	US 5327905 A	12-07-1994
			US 5354297 A	11-10-1994
			CA 2117487 A,C	19-08-1993
			CN 1082382 A	23-02-1994
			EP 0625919 A	30-11-1994
			JP 7504834 T	01-06-1995
			WO 9315790 A	19-08-1993
WO 9742893	A	20-11-1997	EP 0906064 A	07-04-1999
US 5673695	A	07-10-1997	US 5860920 A	19-01-1999
WO 9510318	A	20-04-1995	CA 2174129 A	20-04-1995
			CA 2174131 A	20-04-1995
			EP 0754075 A	22-01-1997
			EP 0723469 A	31-07-1996
			JP 9509069 T	16-09-1997
			JP 10507373 T	21-07-1998
			WO 9510226 A	20-04-1995
			WO 9510327 A	20-04-1995
			US 5582609 A	10-12-1996
			US 5860920 A	19-01-1999
			WO 9510321 A	20-04-1995
			WO 9510319 A	20-04-1995
			WO 9510236 A	20-04-1995
			US 5545193 A	13-08-1996
			US 5871523 A	16-02-1999
			US 6001093 A	14-12-1999
			US 5991650 A	23-11-1999
			WO 9510225 A	20-04-1995
			US 5637090 A	10-06-1997
			US 6030382 A	29-02-2000
			US 5797905 A	25-08-1998
			US 5810802 A	22-09-1998
US 5545200	A	13-08-1996	AU 683603 B	13-11-1997
			AU 7052296 A	23-01-1997
			AU 670894 B	01-08-1996
			AU 8150394 A	30-05-1996
			CA 2138236 A,C	23-05-1996
			DE 9420821 U	27-04-1995
			US 5487757 A	30-01-1996
US 5462527	A	31-10-1995	CA 2110668 A	05-06-1994
			EP 0605796 A	13-07-1994
			JP 6292728 A	21-10-1994
			US 5715817 A	10-02-1998

**THIS PAGE BLANK (USPTO)**

2-1-7

BNSD

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**